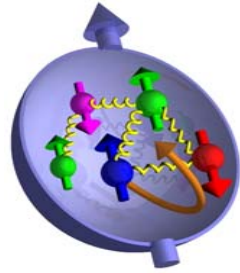
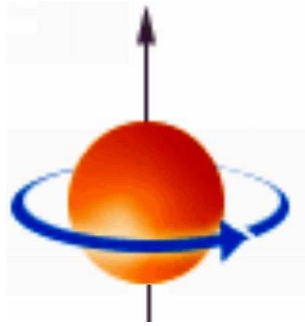


January 7, 2010
KEK, hadron physics



Spin Measurements in Lepton Scattering

Toshi-Aki Shibata

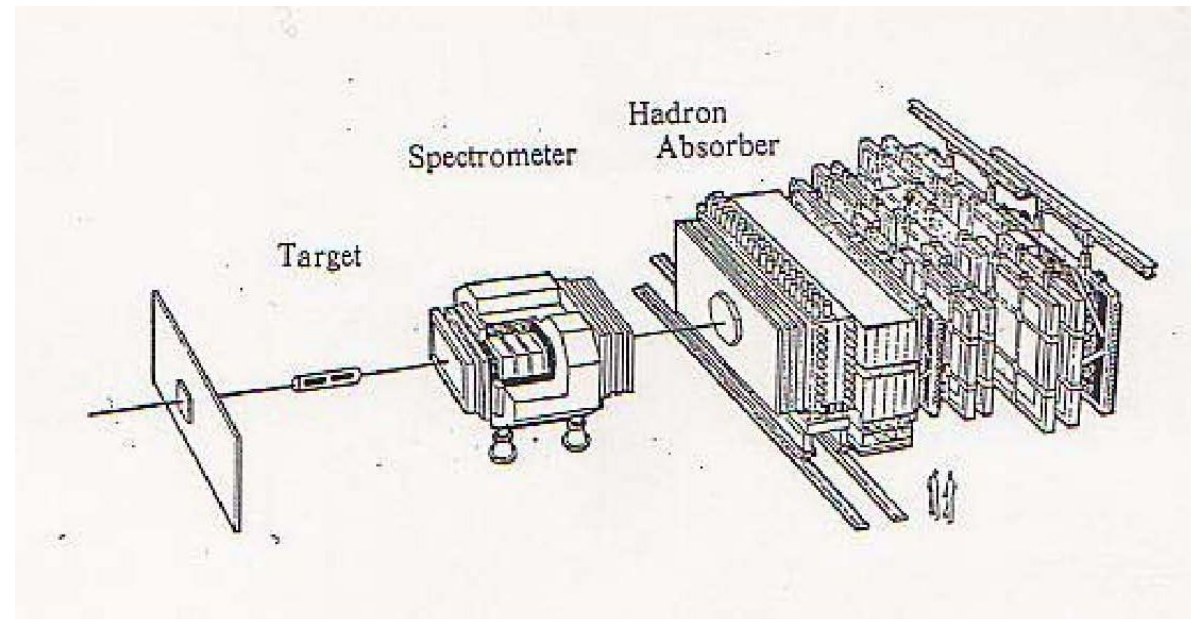
Tokyo Institute of Technology

Contents

1. Introduction: The Nucleon Spin Problem
2. Longitudinal Spin -- Helicity Distributions of quarks and gluon
3. Transverse Spin -- Transversity, Sivers Function
4. Deeply Virtual Compton Scattering and Exclusive Meson Production -- Generalized Parton Distributions
- (5. Unpolarized Targets -- Boer-Mulders Function, etc.)
6. Summary

1. Introduction

EMC Experiment (1988)

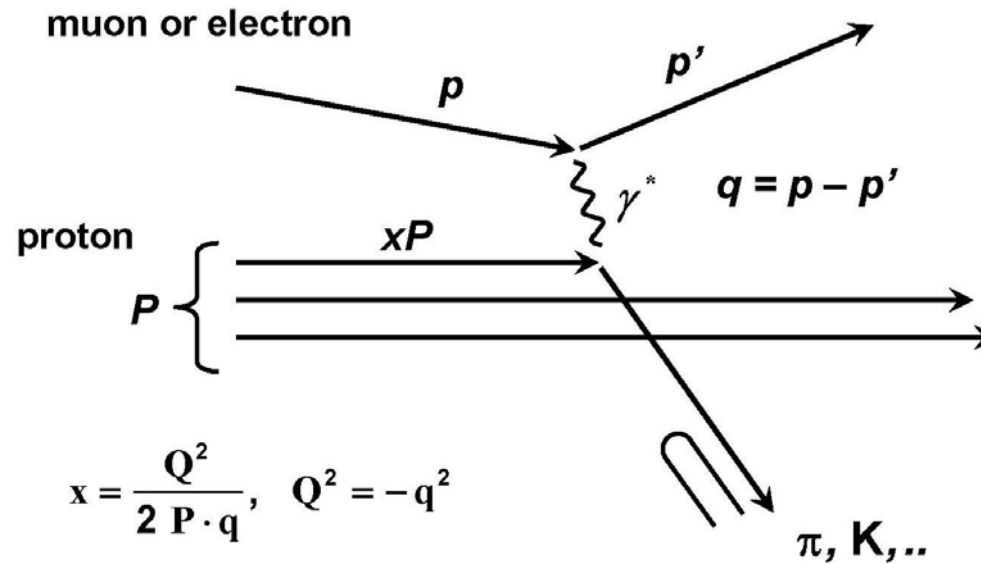


Muon – Proton Deep Inelastic Scattering at CERN

$E = 100, 120, 200 \text{ GeV}$ $P_{\mu} \approx 76\%$ $P_T \approx 75 - 80\%$ NH_3

$$A = \frac{\sigma^{\uparrow\downarrow} - \sigma^{\uparrow\uparrow}}{\sigma^{\uparrow\downarrow} + \sigma^{\uparrow\uparrow}}$$

Lepton-proton deep inelastic scattering



$$A = \frac{\sigma^{\uparrow\downarrow} - \sigma^{\uparrow\uparrow}}{\sigma^{\uparrow\downarrow} + \sigma^{\uparrow\uparrow}} \longrightarrow A_1 = \frac{A}{D} \longrightarrow A_1 \approx \frac{g_1(x)}{F_1(x)}$$

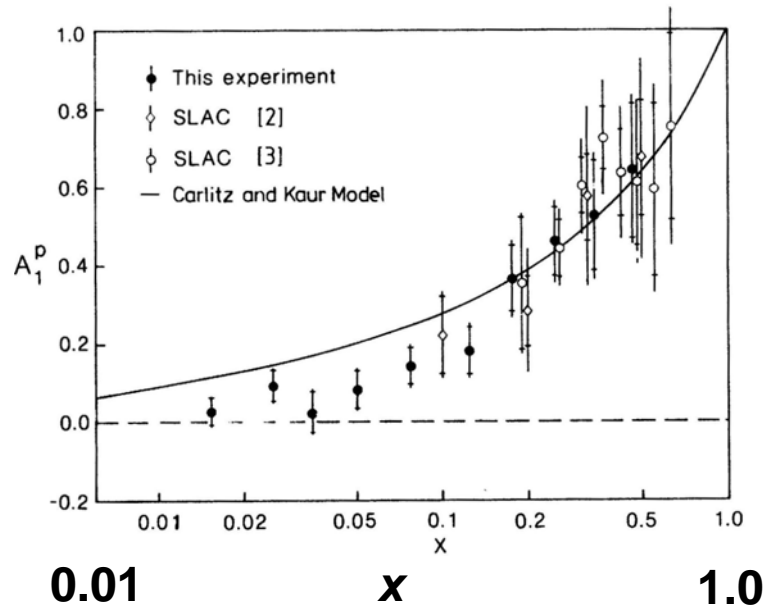
depolarization factor

$$g_1(x) = \frac{1}{2} \sum e_i^2 (q_i^+(x) - q_i^-(x))$$

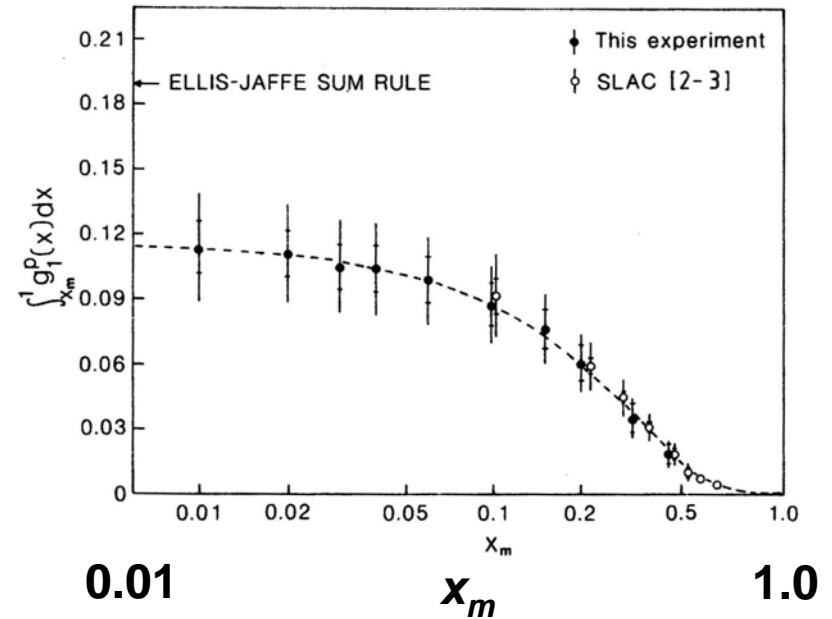
x and Q^2 are determined event by event

x : the fraction of the momentum carried by the quark

$$A_1 \approx \frac{g_1(x)}{F_1(x)}$$



$$\int_{x_m}^1 g_1(x) dx$$

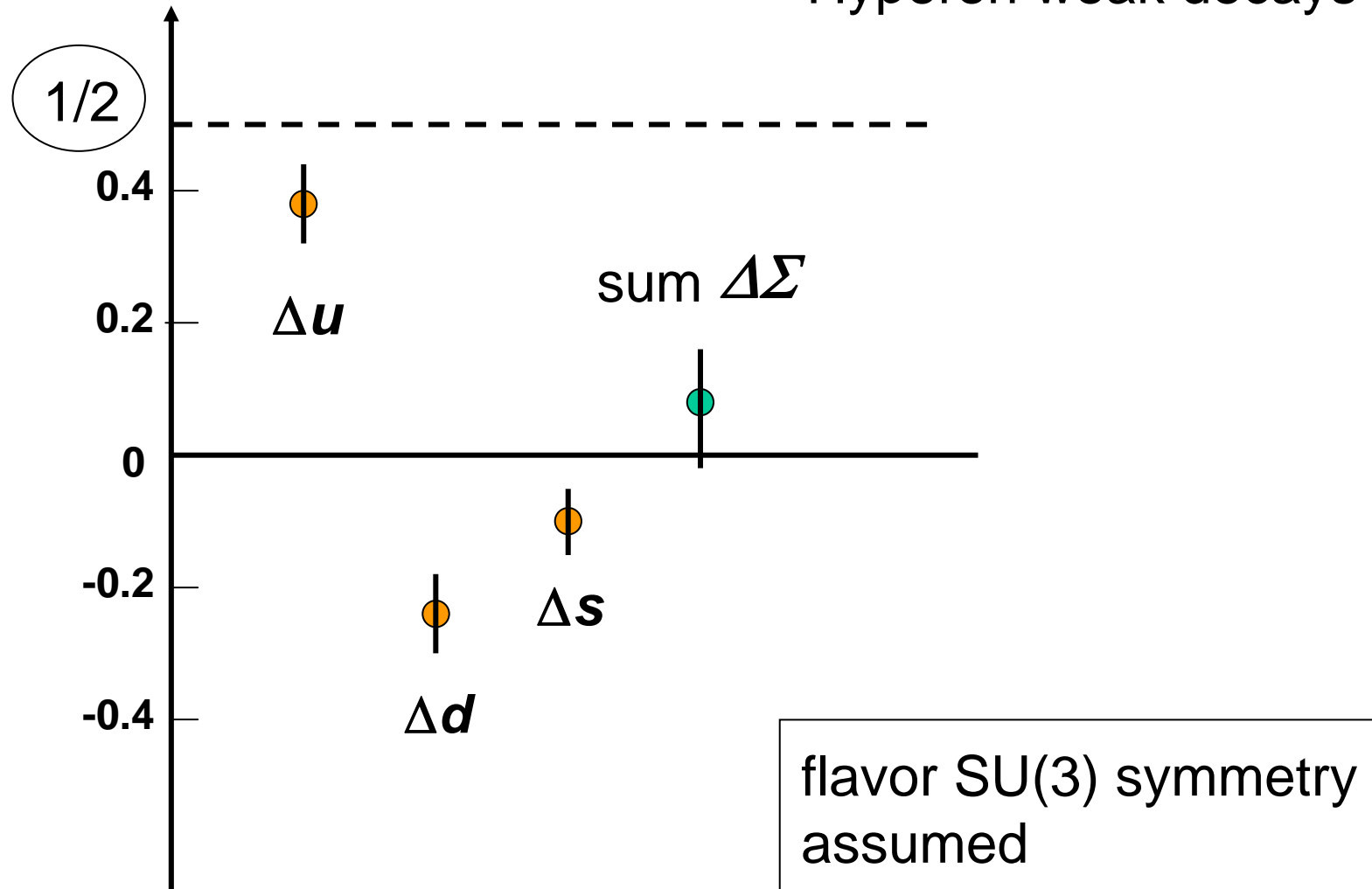


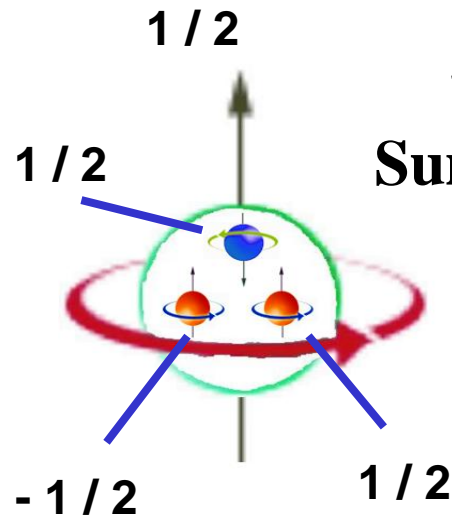
EMC + SLAC $\int_0^1 g_1(x) dx = 0.126 \pm 0.010 \pm 0.015$

$$\frac{1}{2} \Delta \Sigma = \frac{1}{2} (\Delta u + \Delta \bar{u} + \Delta d + \Delta \bar{d} + \Delta s + \Delta \bar{s}) = 0.060 \pm 0.047 \pm 0.069$$

(12 ± 9.4 ± 13.8) %


EMC + Neutron lifetime
Hyperon weak decays





SU(6) Quark Wave Functions of Baryons

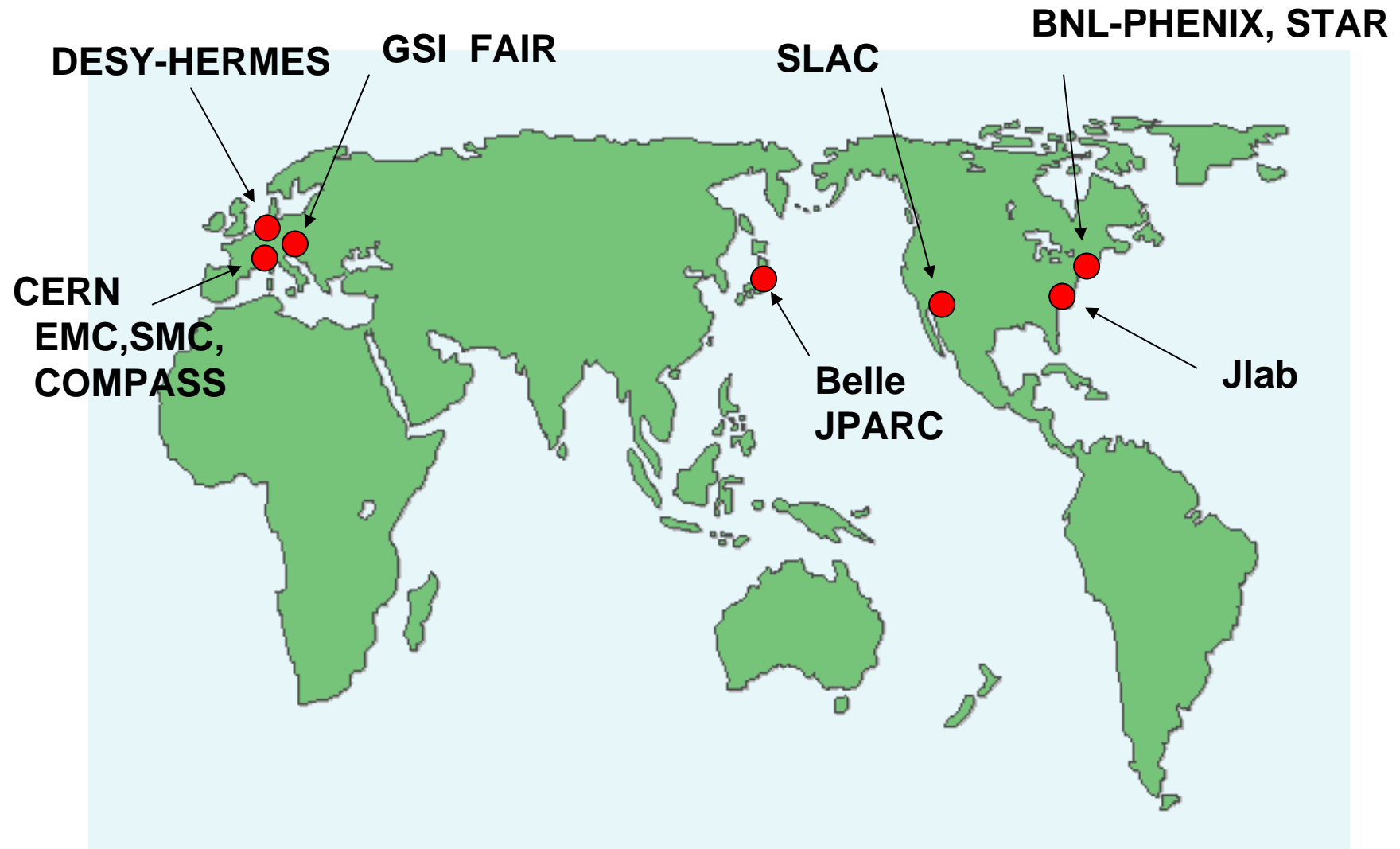
Sum of Spins of u u d Quarks = Spin of Proton

$$\frac{1}{2} + \frac{1}{2} + \left(-\frac{1}{2}\right) = \frac{1}{2}$$


'Nucleon Spin Problem'

Measure

- 1) with pure hydrogen and deuterium targets
- 2) down to even smaller x region

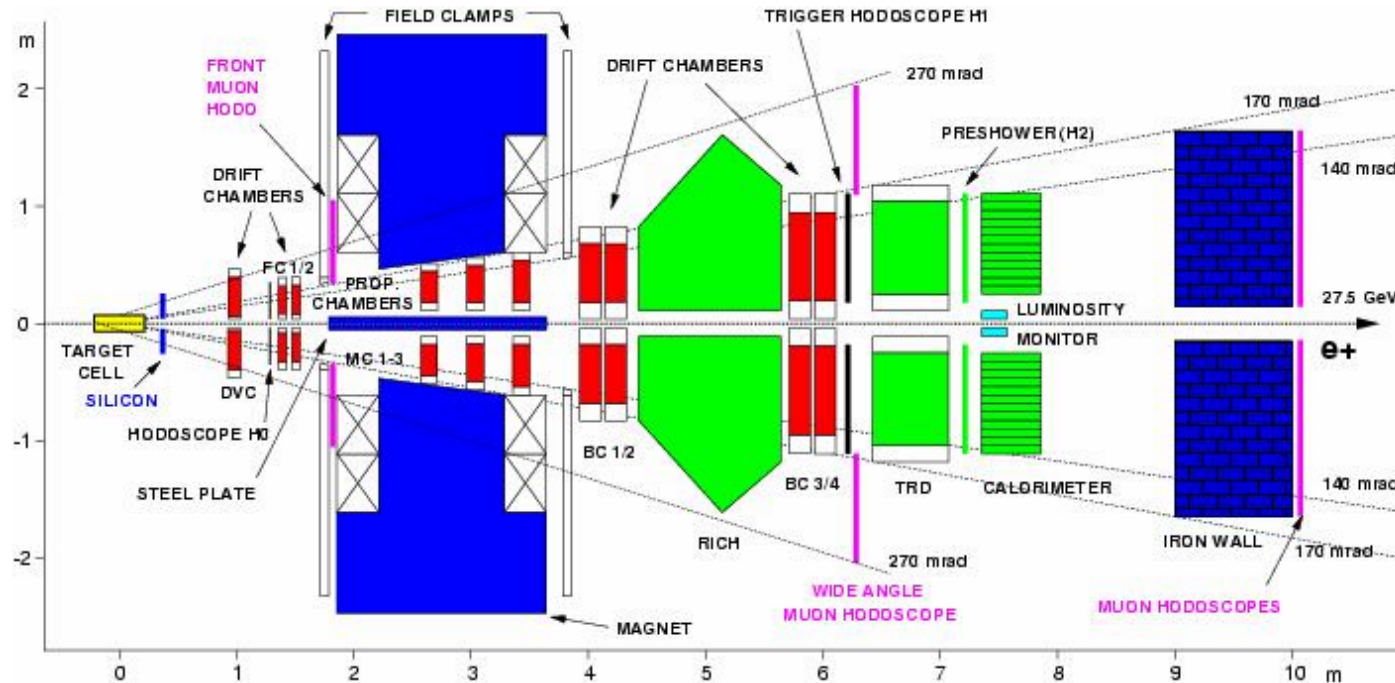


**500 ~ 1000 experimental physicists now,
Strong activities of theoretical physicists**

HERMES at DESY-HERA (1995-2007)



Positron 27.6 GeV, longitudinally polarized, ~ 53%

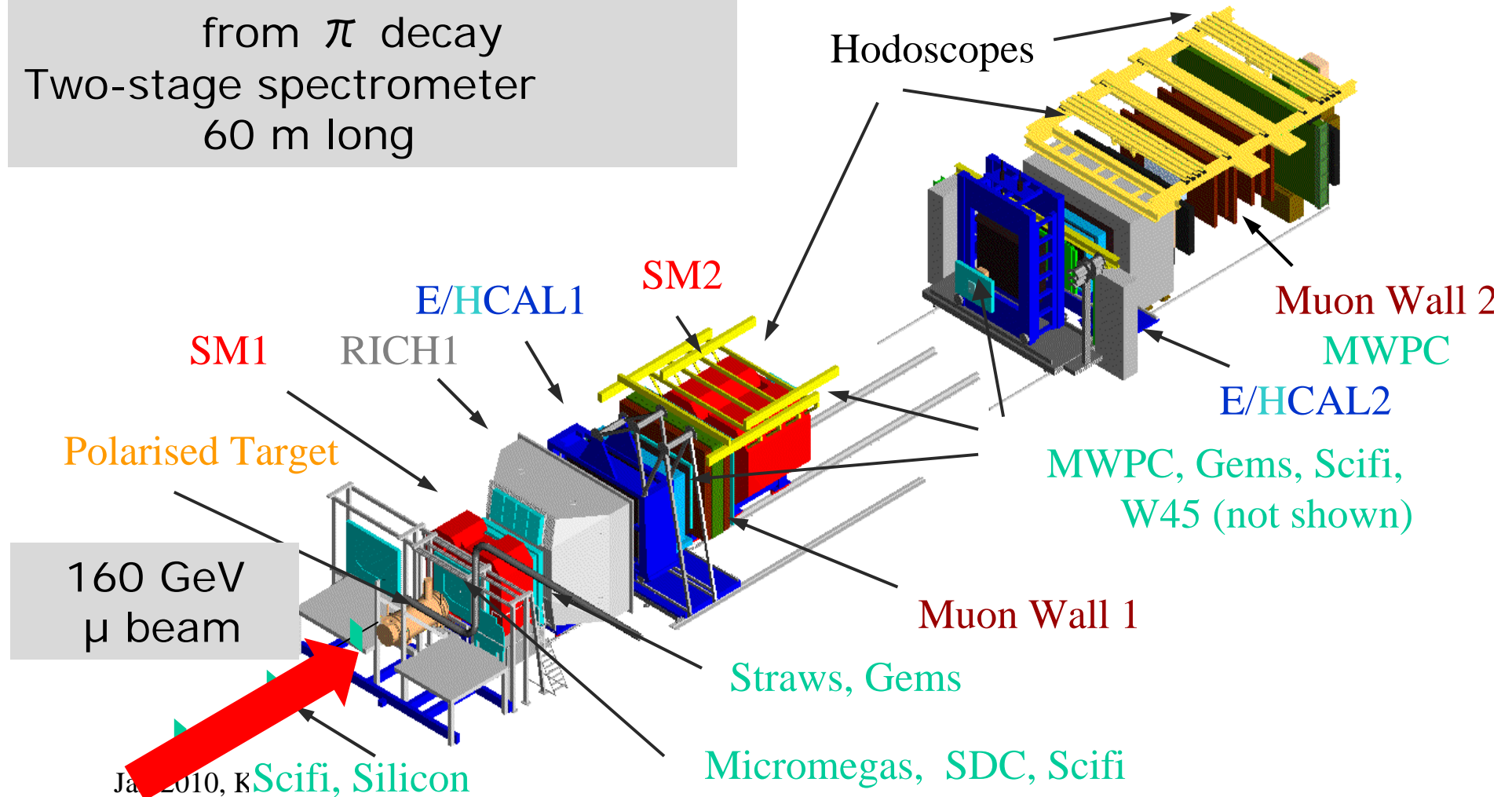


**Polarized Proton and Deuteron Targets,
Particle ID: hadron ID with RICH**

COMPASS at CERN (2002 -)

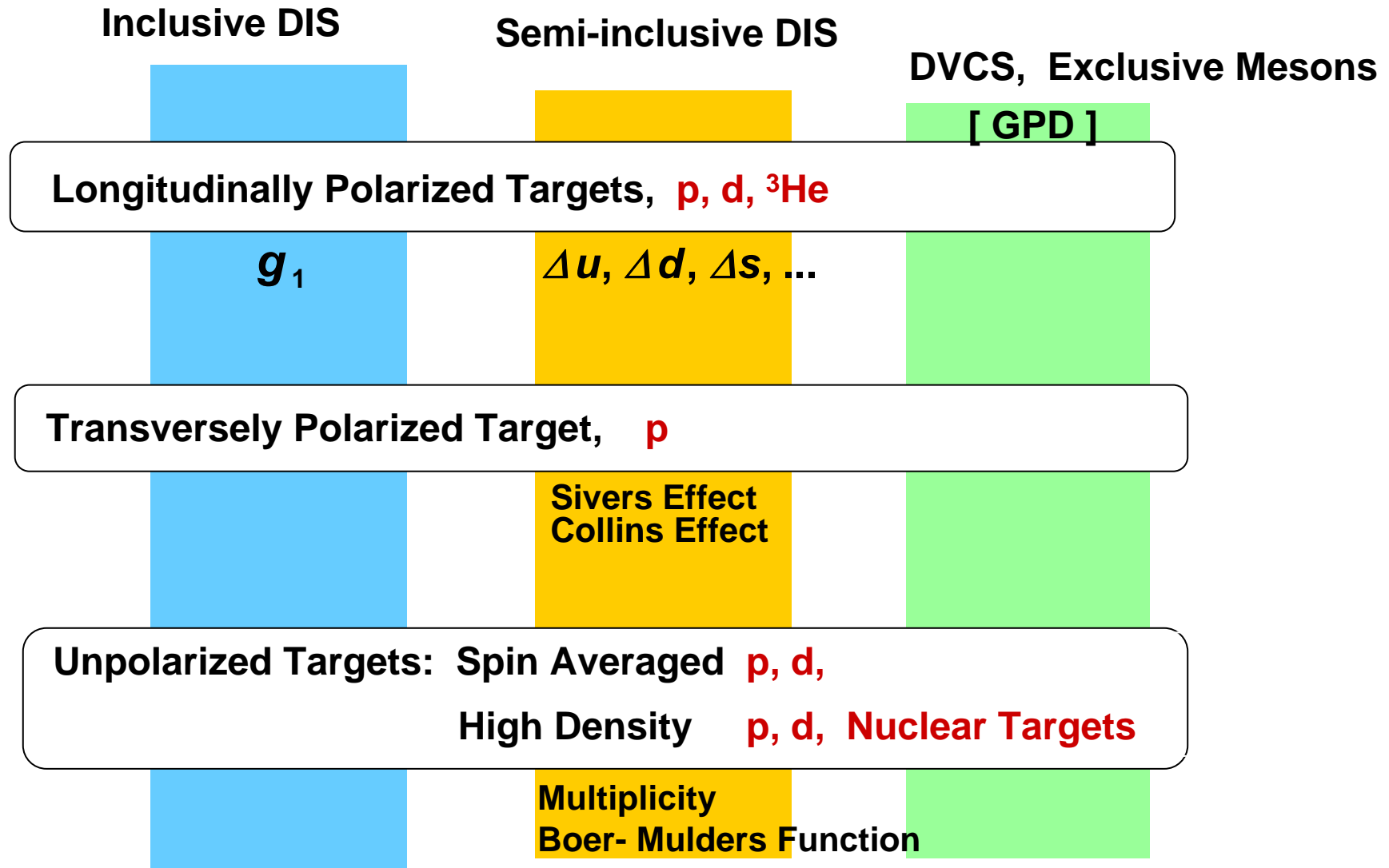


Beam: 160 GeV μ^+ , pol. 80%
from π decay
Two-stage spectrometer
60 m long



Physics Program (Example of HERMES)

Positron beam /
Electron beam



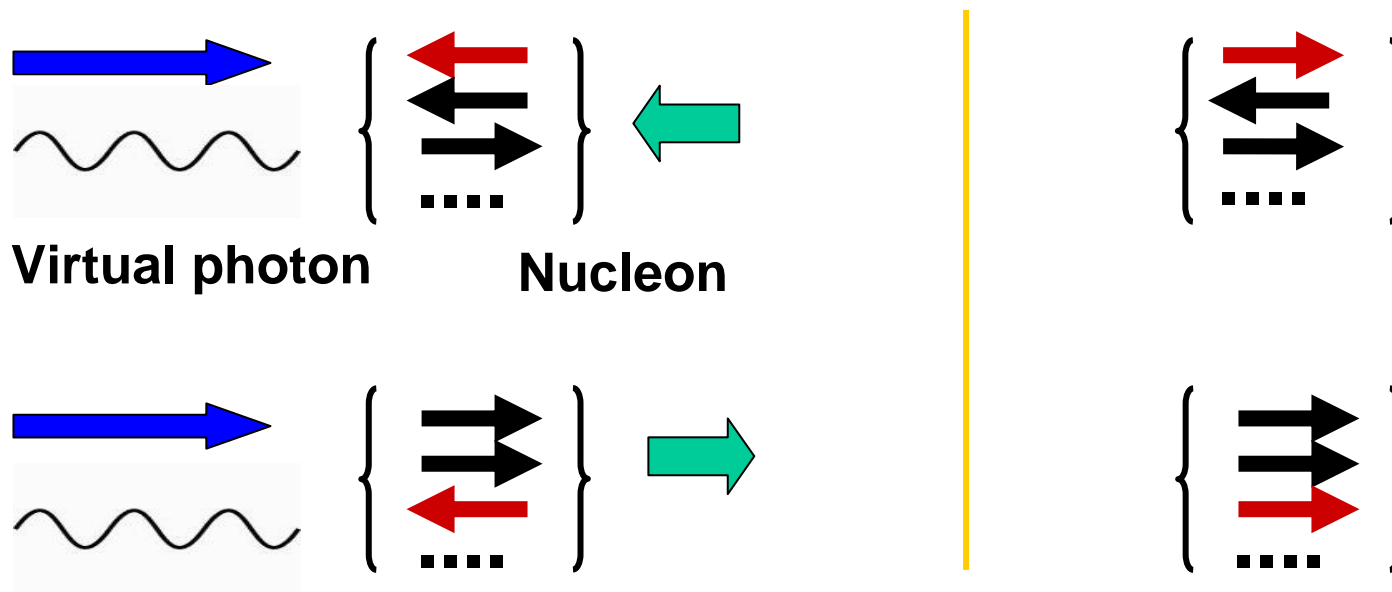
2. Longitudinal Spin - Helicity Distributions of Quarks and Gluons

Quark Helicity Distributions, Flavor Separation

Double-spin asymmetry

$$\vec{e} + \vec{N} \rightarrow e' + X$$

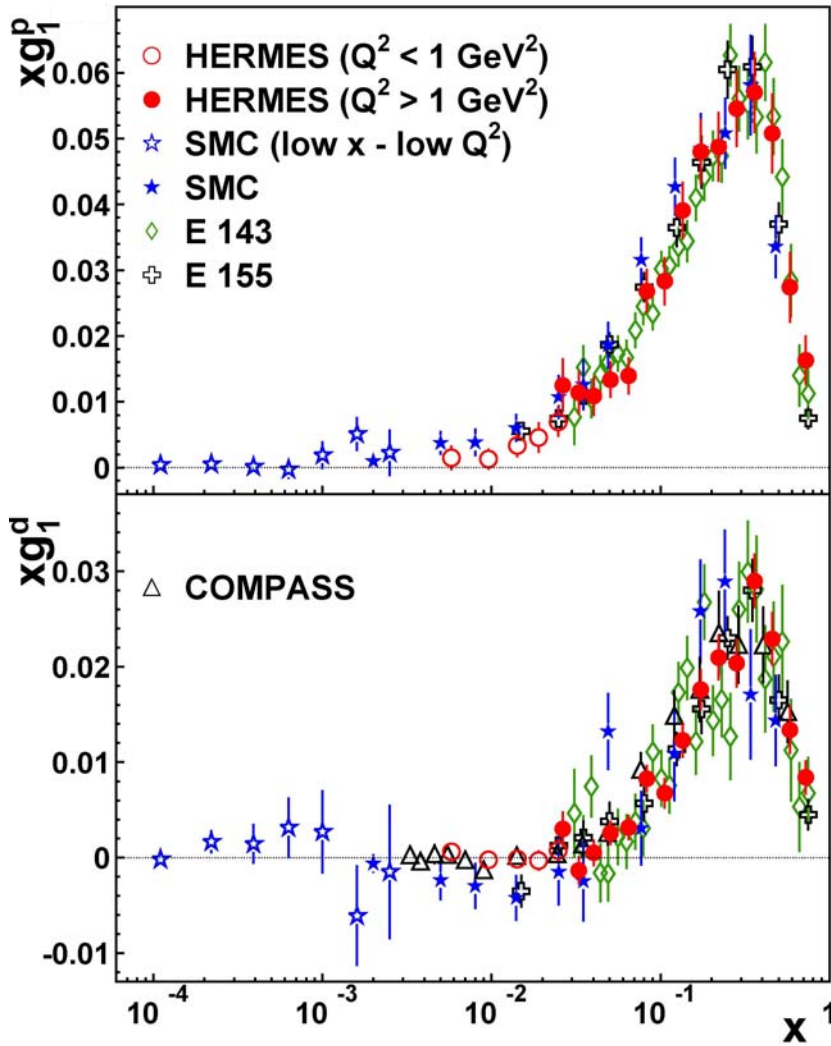
Beam and target, both polarized



$$A_1(x, z) = \frac{\sigma_{\leftarrow}^{\rightarrow}(x) - \sigma_{\rightarrow}^{\rightarrow}(x)}{\sigma_{\leftarrow}^{\rightarrow}(x) + \sigma_{\rightarrow}^{\rightarrow}(x)}$$

T.-A. Shibata

Xg_1

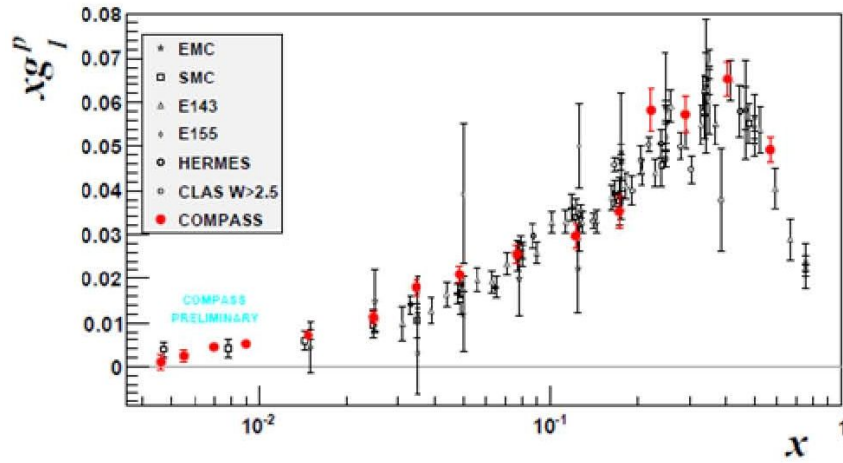


p

$\Delta\Sigma = 0.2 - 0.3$
20 - 30%

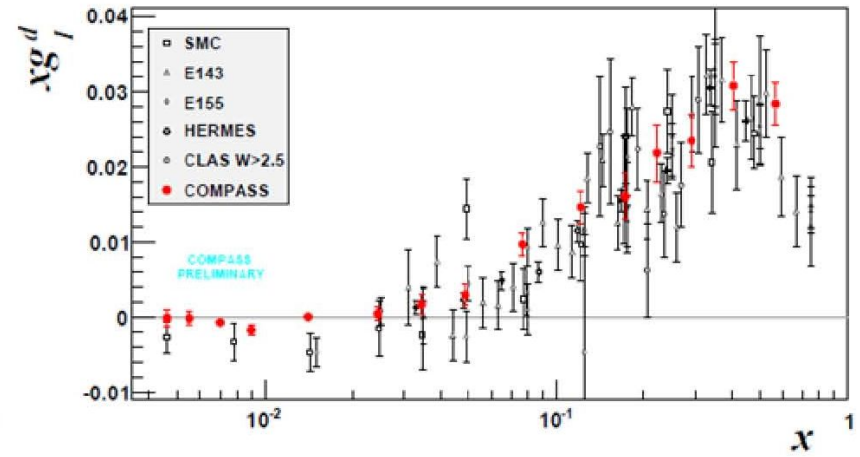
d

p



• COMPASS 2007

d



• COMPASS 2002-2006

HERMES:

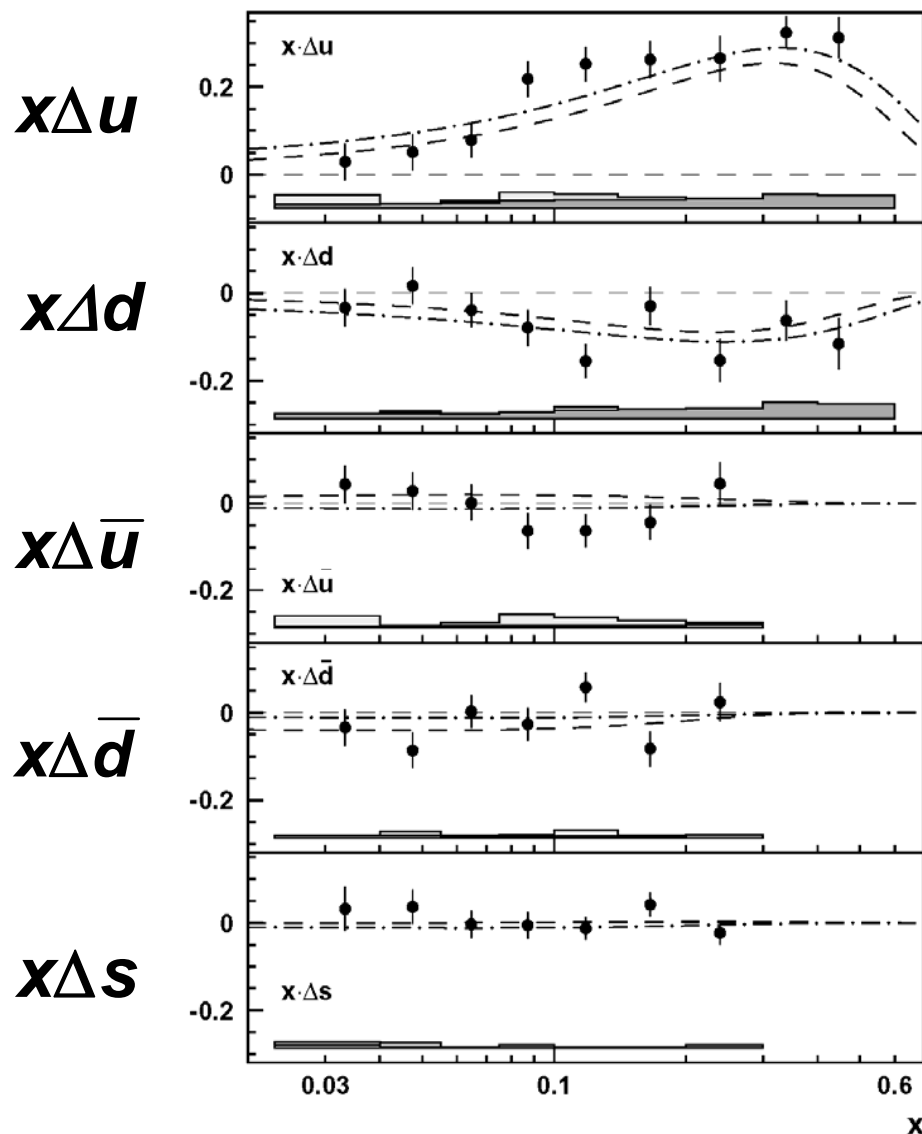
From g_1^d at $0.021 < x < 1$,

$$\Delta\Sigma = 0.330 \pm 0.011(\text{theo}) \pm 0.025(\text{exp.}) \pm 0.028(\text{evol.})$$

33%

HERMES

Flavor Separation, Quark Helicity Distributions



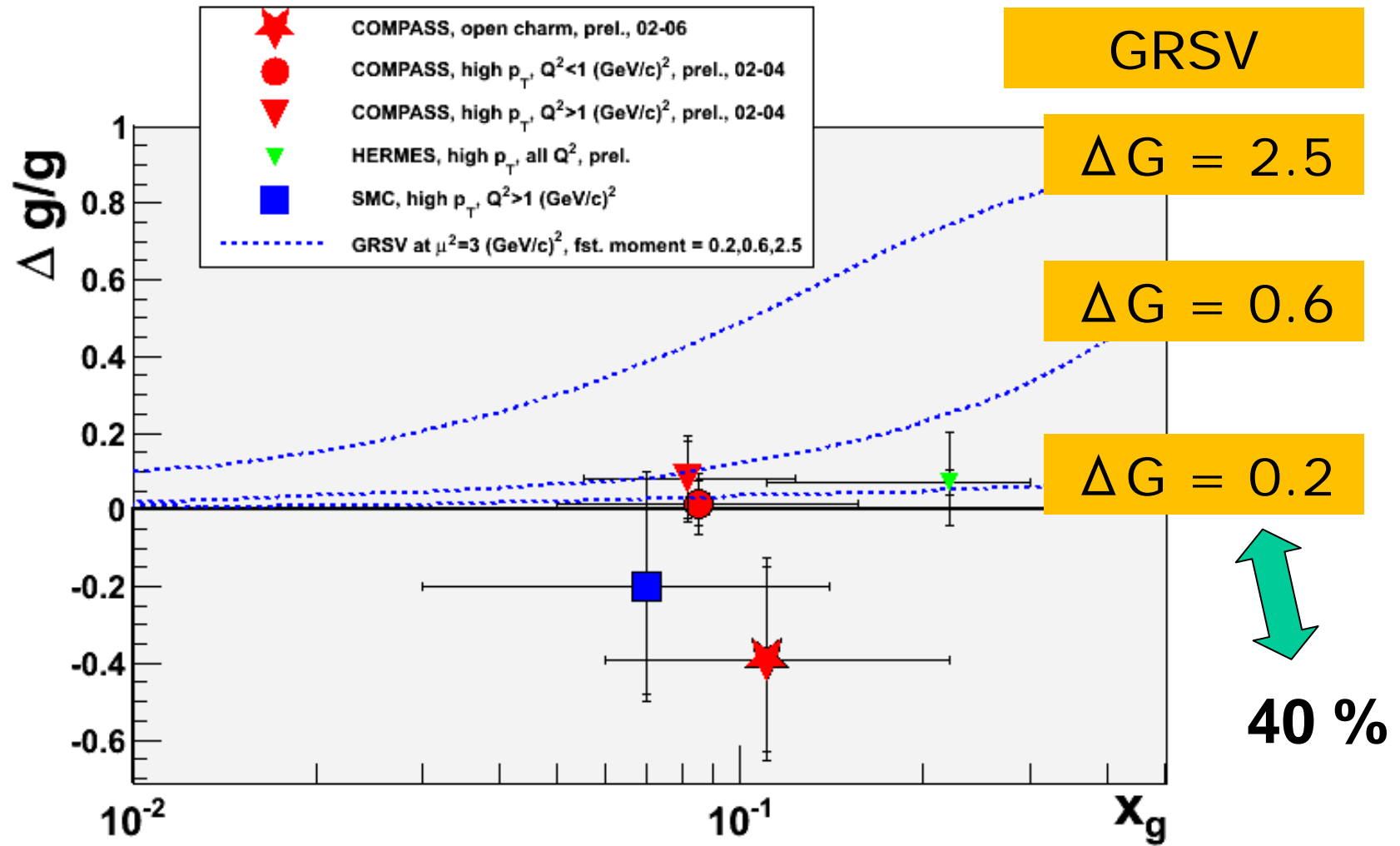
Result: $\Delta u > 0$
 $\Delta d < 0$
 $\Delta \bar{q} \approx 0$

- **x bin by bin analysis**
- **No functional forms are assumed.**
- **No first moments are assumed.**
- **Helicity conservation not assumed** $\frac{\Delta d}{d} \rightarrow 1$ as $x \rightarrow 1$ etc.

Error band – systematic error

— · — QCD fits to inclusive measurements
 - - - - QCD fits to exclusive measurements

Gluon Spin



Global Analysis and Gluon Spin Contribution

D. de Florian et al. Phys.Rev.Lett.101:072001, 2008. **DSSV08**

Data of deep inelastic lepton scattering and proton-proton collision

$$x\Delta f_j(x, Q_0^2) = N_j x^{\alpha_j} (1-x)^{\beta_j} (1 + \gamma_j \sqrt{x} + \eta_j x)$$

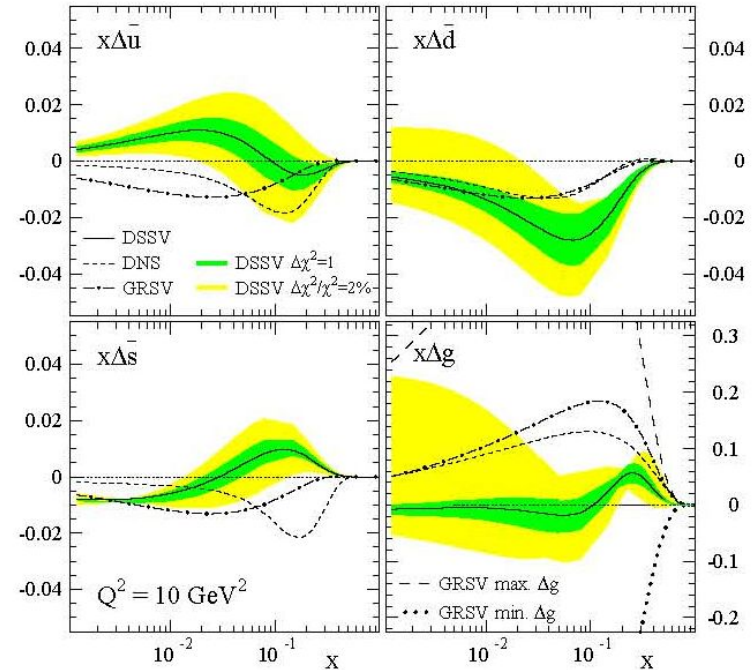
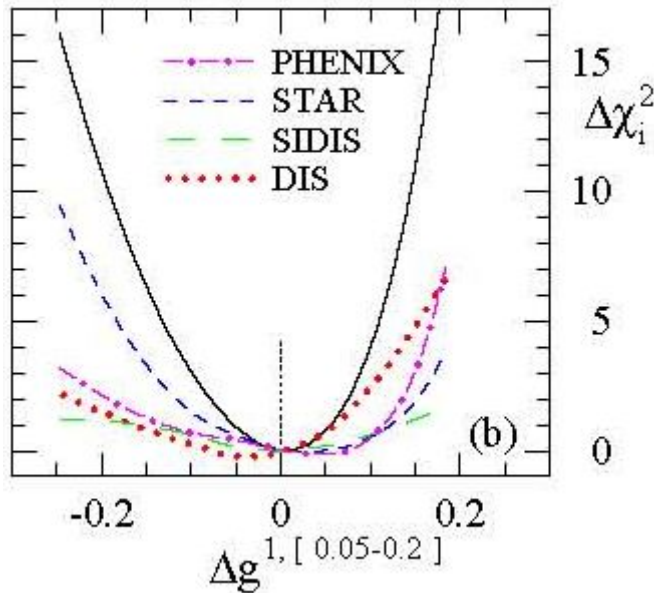


FIG. 2: Our polarized sea and gluon densities compared to previous fits [6, 8]. The shaded bands correspond to alternative fits with $\Delta\chi^2 = 1$ and $\Delta\chi^2/\chi^2 = 2\%$ (see text).

- (Inclusive) DIS data give a constraint on the positive side
- PHENIX data also give a constraint on the positive side
- STAR data give a constraint on the negative side

see also AAC, LSS06,...

DSSV08

[0.001 – 1]

$$\Delta g = 0.013^{+0.106}_{-0.120} \quad \Delta\chi^2 = 1$$

Gloun spin contribution, 2.6%+21%-24%

$$\Delta g = 0.013^{+0.702}_{-0.314} \quad \frac{\Delta\chi^2}{\chi^2} = 2\%$$

2.6%+140%-63%

$$\Delta\Sigma = 0.366^{+0.042}_{-0.062} \quad \frac{\Delta\chi^2}{\chi^2} = 2\%$$

37%+4%-6%

Polarized p+p collider PHENIX and STAR

PHENIX, Phys. Rev. Lett. 103, 012003, 2009

GRSV

[0.02 – 0.3]

$$\Delta g = 0.20 \pm 0.10 \pm 0.10$$

$$\Delta\chi^2 = 1 \text{ or } 1\sigma$$

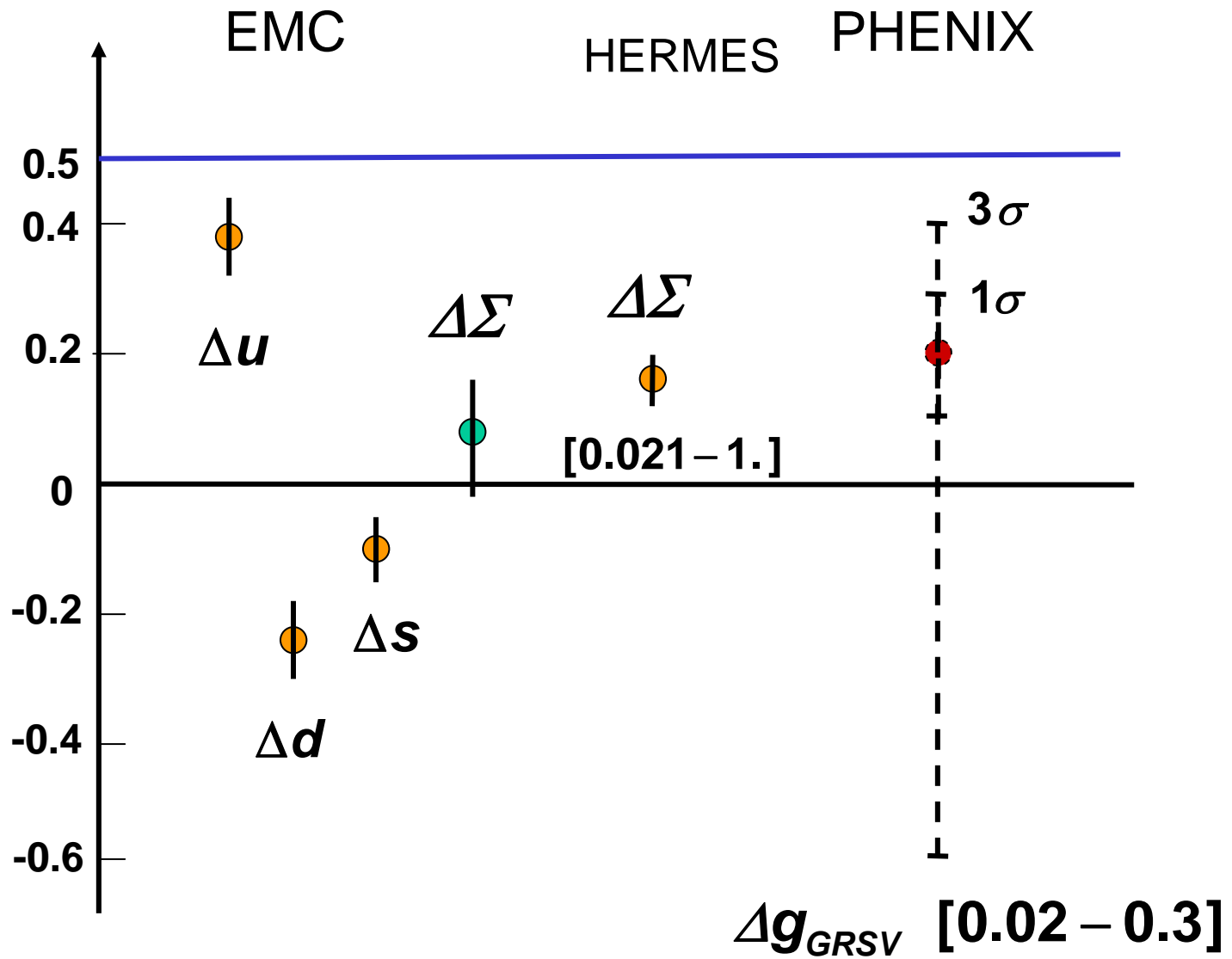
40% ± 20% ± 20%

$$\Delta g = 0.20 + 0.20 - 0.8 \pm 0.10$$

$$\Delta\chi^2 = 9 \text{ or } 3\sigma$$

40%+40%-160% ± 20%

1/2



3. Transverse Spin – Sivers Function, Transversity

Sivers Effect

Correlation between the nucleon spin and the momentum direction of the quarks in it.

- **Transverse momentum dependent: $f_{1T}^{\perp q}(x, p_T^2)$**
- **Naïve time reversal odd**

A few ideas exist to relate it to orbital angular momentum of quarks

HERMES

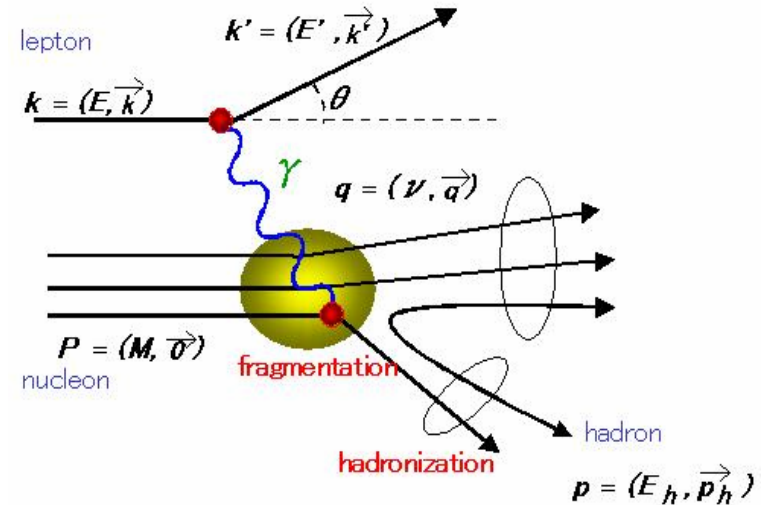
A. Airapetian et al., Phys. Rev. Lett. 94 (2005) 012002

A. Airapetian et al., Phys. Rev. Lett. 103 (2009) 152002

Measure x , Q^2 event by event

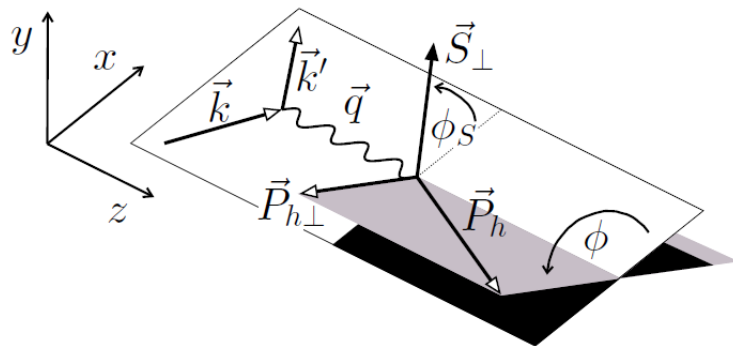
Semi-inclusive measurement

Determine $z = \frac{P_h}{\nu}$ event by event



Sivers Effect: $\sin(\phi - \phi_S)$ modulation

$$\sigma(\phi, \phi_S) = \sigma_{UU} \{ 1 + 2 \langle \cos \phi \rangle_{UU} \cos \phi + 2 \langle \cos 2\phi \rangle_{UU} \cos 2\phi + |S_T| [2 \langle \sin(\phi - \phi_S) \rangle_{UT} \sin(\phi - \phi_S) + \dots] \}$$



$$2 \langle \sin(\phi - \phi_S) \rangle_{UT} = - \frac{\sum e^2 f_{1T}^{\perp q}(x, p_T^2) \otimes D_1^q(z, K_T^2)}{\sum e_q^2 f_1^q(x) D_1^q(z)}$$

Measure ϕ , ϕ_S event by event

Results

$$2 \langle \sin(\phi - \phi_s) \rangle_{UT}$$

Scattering on u quark dominant

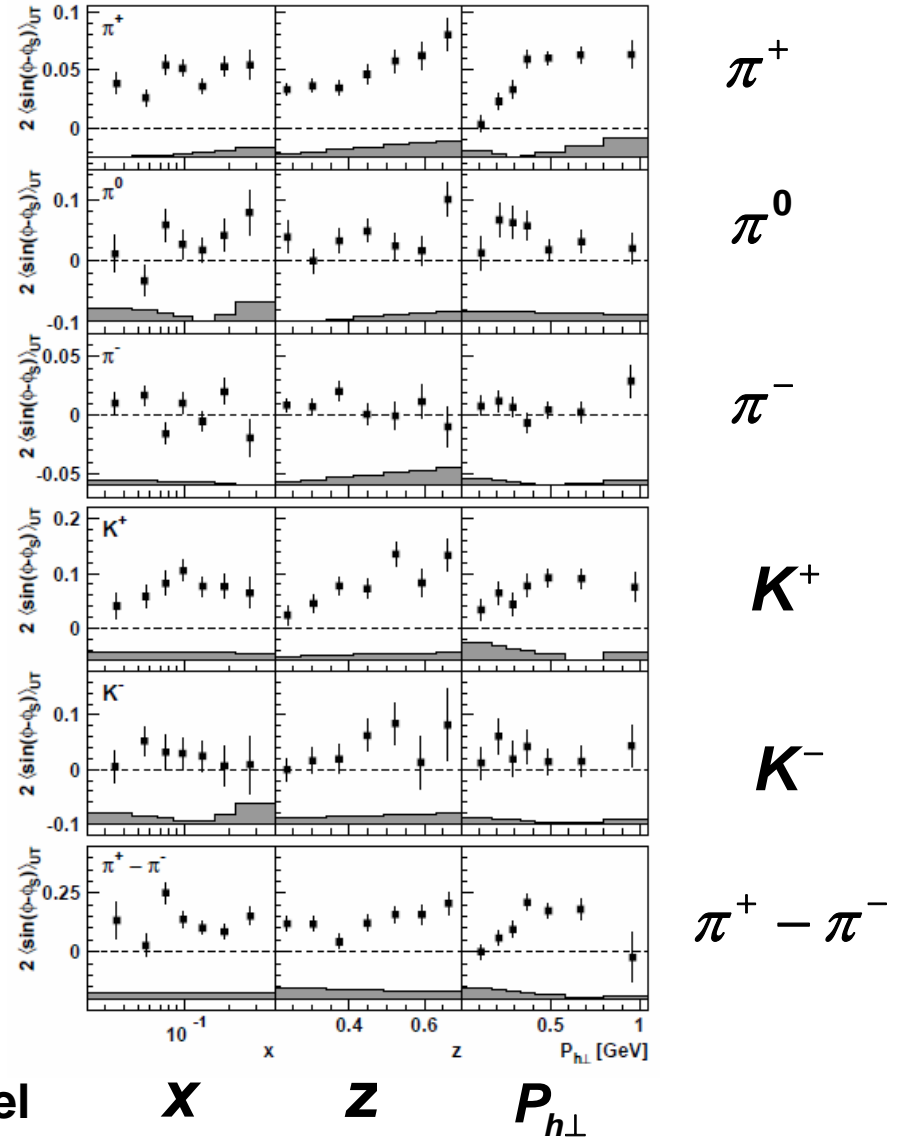
Positive amplitude

⇒ negative $f_{1T}^{\perp u}$

‘Pion difference asymmetry’

$$A_{UT}^{\pi^+ - \pi^-}(\phi - \phi_s) \equiv \frac{1}{|S_T|} \frac{(\sigma_{U\uparrow}^{\pi^+} - \sigma_{U\uparrow}^{\pi^-}) - (\sigma_{U\downarrow}^{\pi^+} - \sigma_{U\downarrow}^{\pi^-})}{(\sigma_{U\uparrow}^{\pi^+} - \sigma_{U\uparrow}^{\pi^-}) + (\sigma_{U\downarrow}^{\pi^+} - \sigma_{U\downarrow}^{\pi^-})}$$

Contributions of exclusive ρ cancel



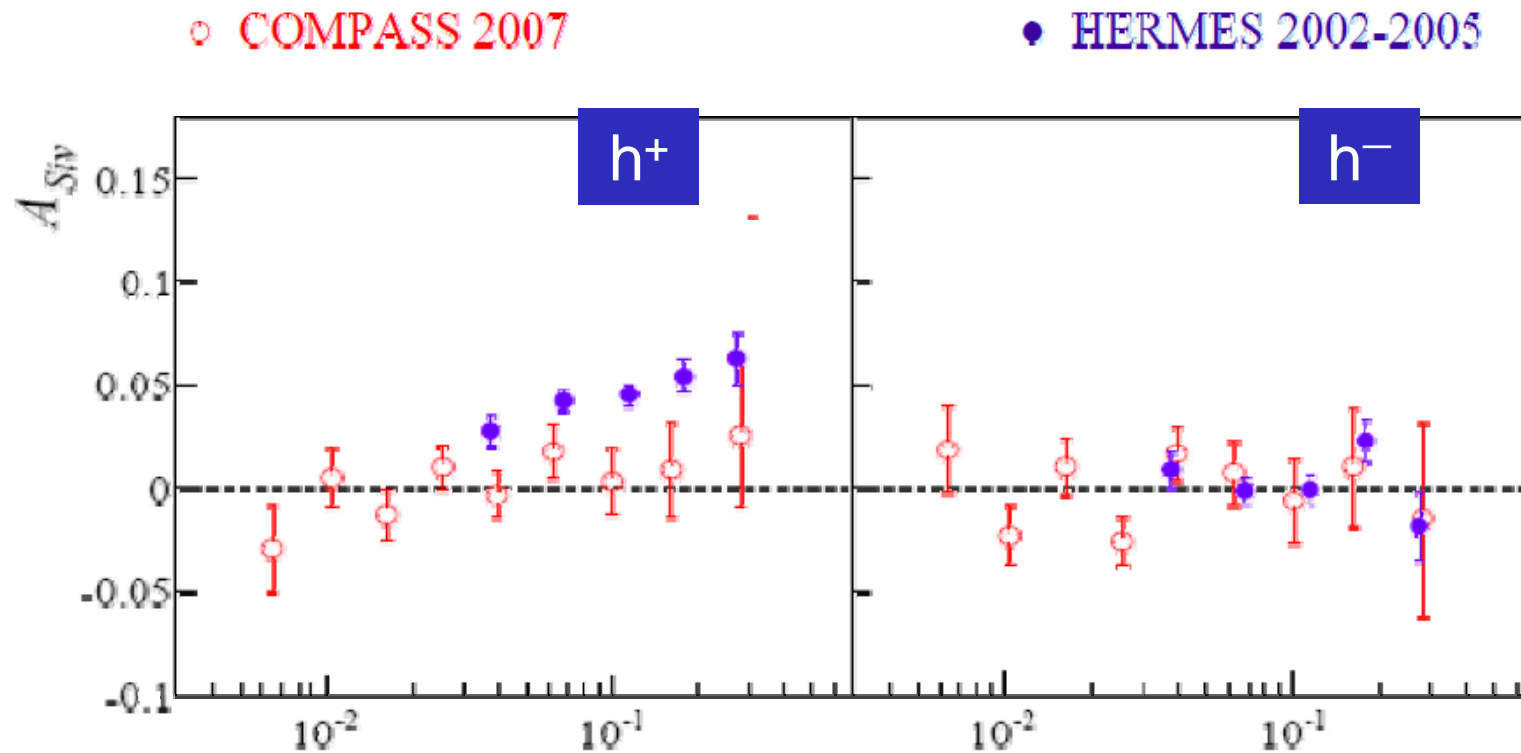


- **Sivers Effect was observed for π^+ , π^0 , K^+ as well as $\pi^+ - \pi^-$, with Transversely Polarized Proton Target at HERMES**
- **Positive Sivers Amplitudes suggest negative $f_{1T}^{\perp u}$**
- **Comparison with other experiments (COMPASS d / p etc.) will be useful. Q^2 evolution, etc.**

Proton Sivers Asymmetry

- COMPASS

- compatible with zero for the deuteron
- large effect seen by HERMES, not confirmed by COMPASS
- clarification needed

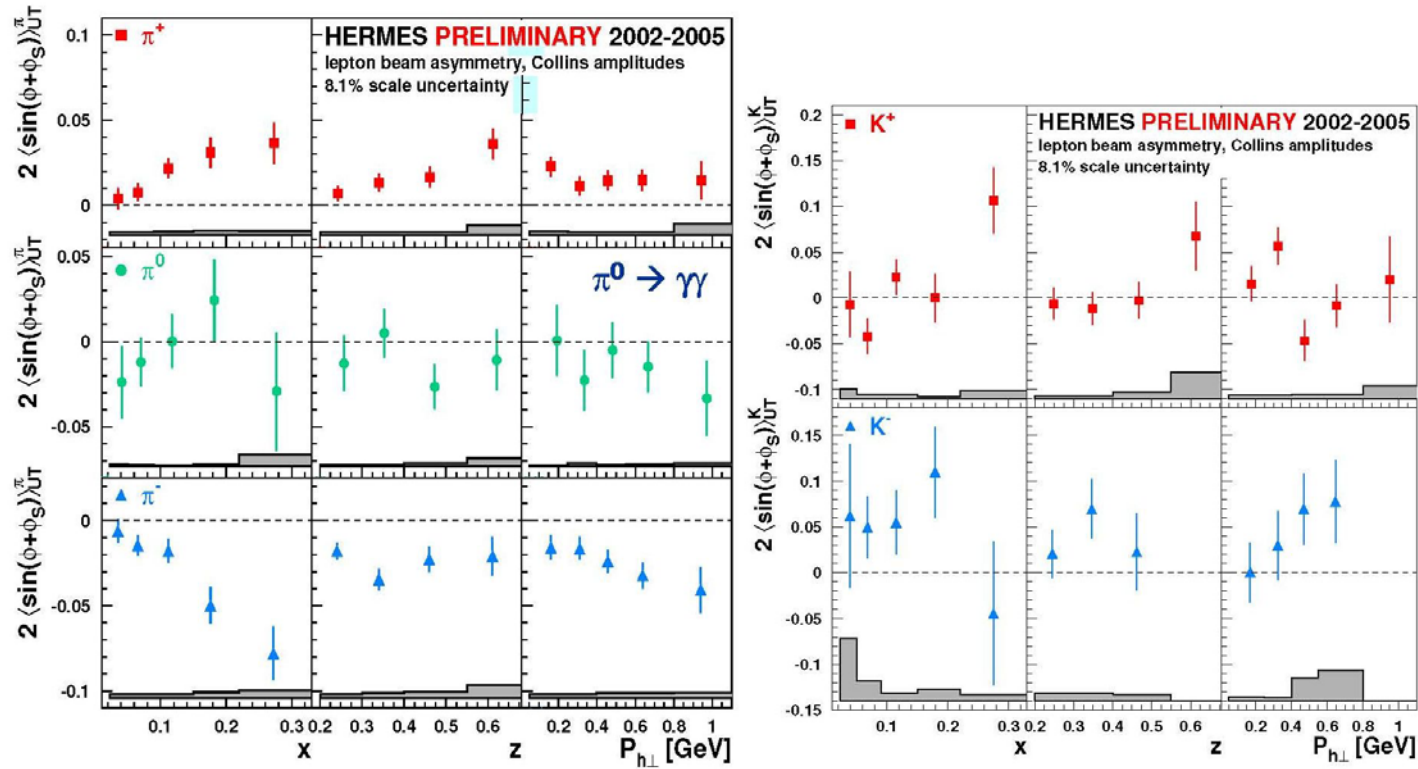


Collins Asymmetry



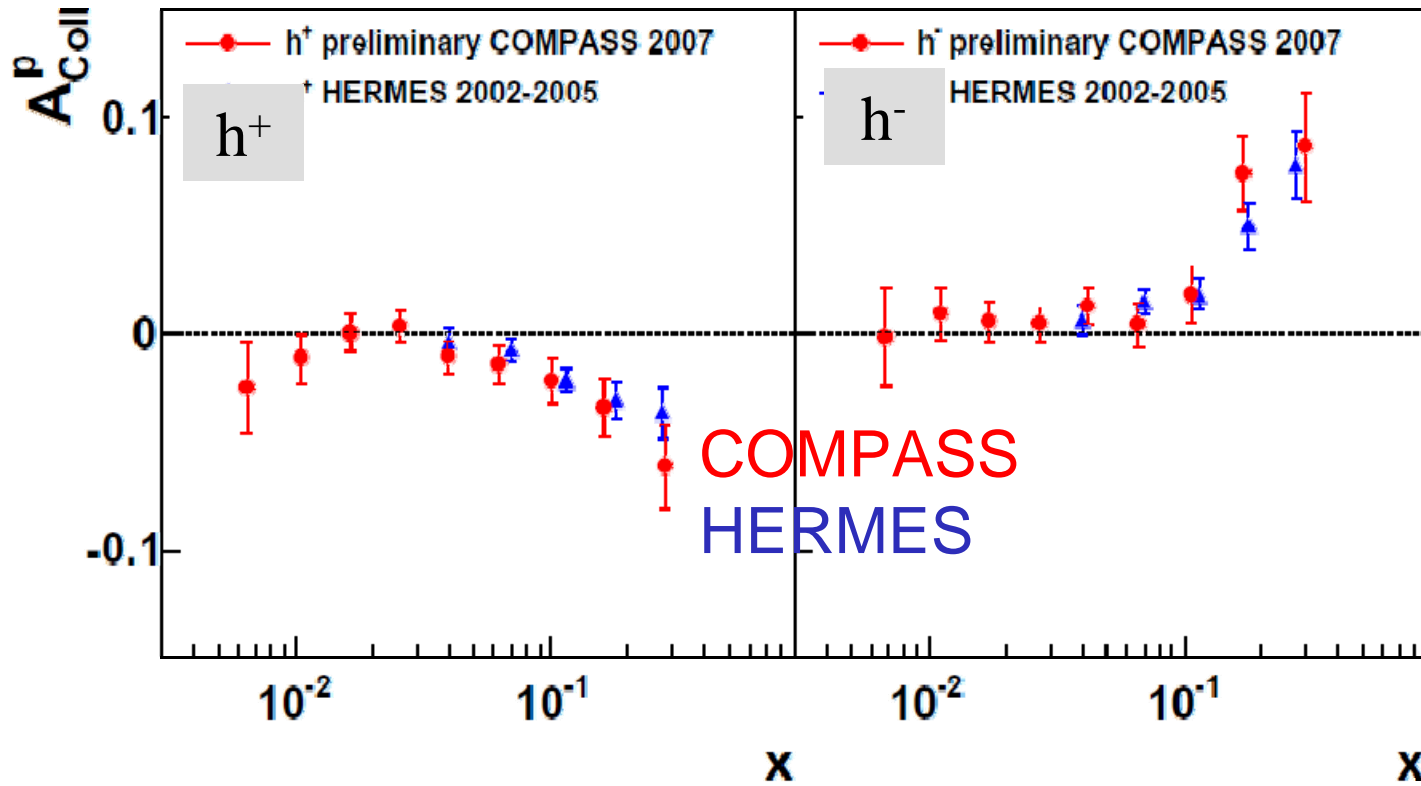
Proton target

$\sin(\phi + \phi_C)$ modulation



Proton Collins Asymmetry - COMPASS

proton



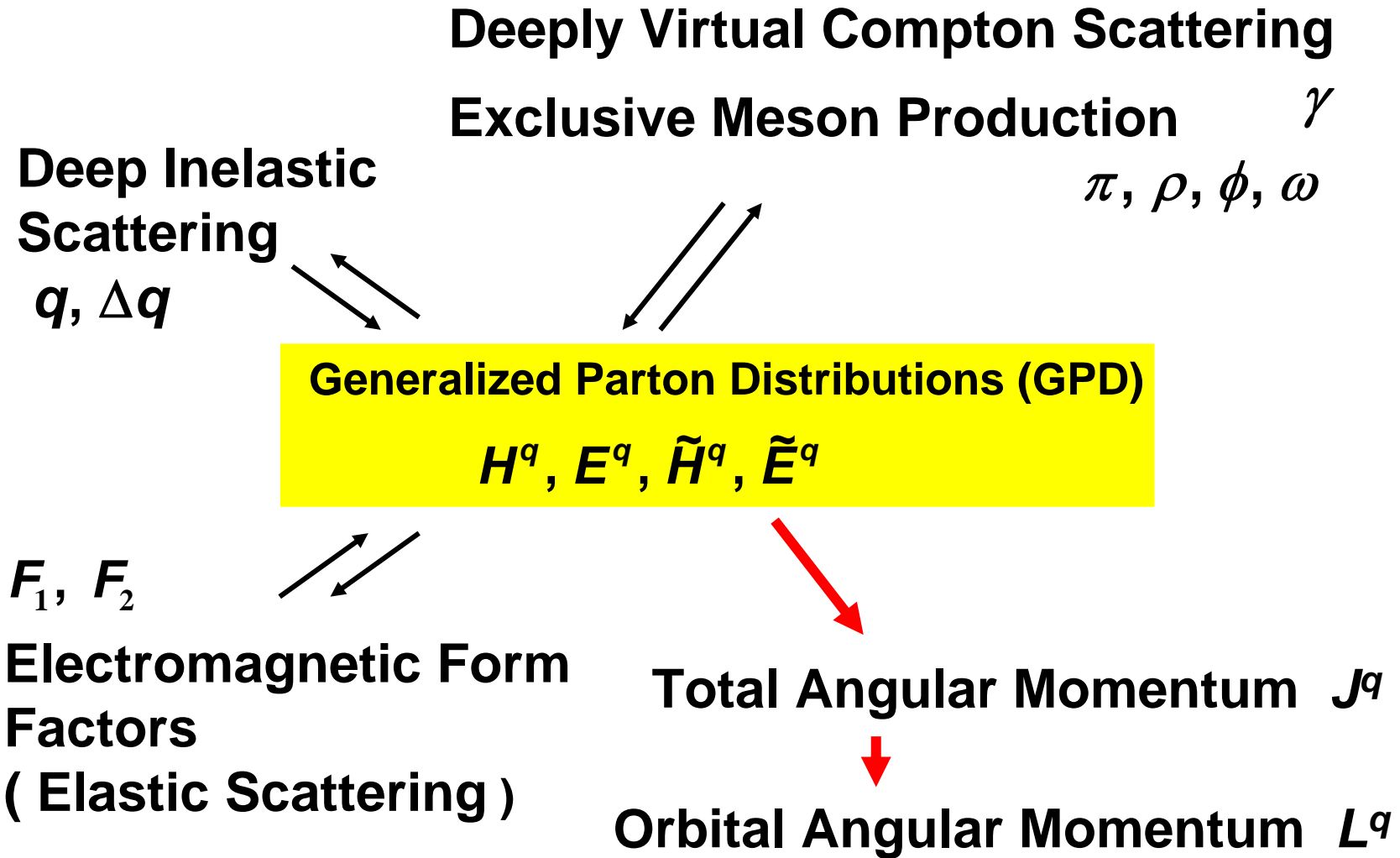
sign change and $D_{nn} \cong y$ applied for HERMES data

Good agreement

4. Generalized Parton Distributions

**Deeply Virtual Compton Scattering,
Exclusive Meson Production**

Generalized (Off-Forward) Parton Distributions



Generalized (Off - Forward) Parton Distributions

$$H^q(x, \xi, t), E^q(x, \xi, t), \tilde{H}^q(x, \xi, t), \tilde{E}^q(x, \xi, t)$$

Forward limit $t \rightarrow 0, \xi \rightarrow 0$

$$H^q(x, 0, 0) = q(x), \quad \tilde{H}^q(x, 0, 0) = \Delta q(x) \quad \text{Ordinary Quark Distributions}$$

Sum rules, x – integral, sum over q, \dots

$$H^q(x, \xi, t) \rightarrow F_1(t), \quad E^q(x, \xi, t) \rightarrow F_2(t) \quad \text{Dirac and Pauli Nucleon Form Factors}$$

$$\tilde{H}^q(x, \xi, t) \rightarrow g_A(t), \quad \tilde{E}^q(x, \xi, t) \rightarrow h_A(t) \quad \text{Axial-vector and Pseudo-scalar Form Factors}$$

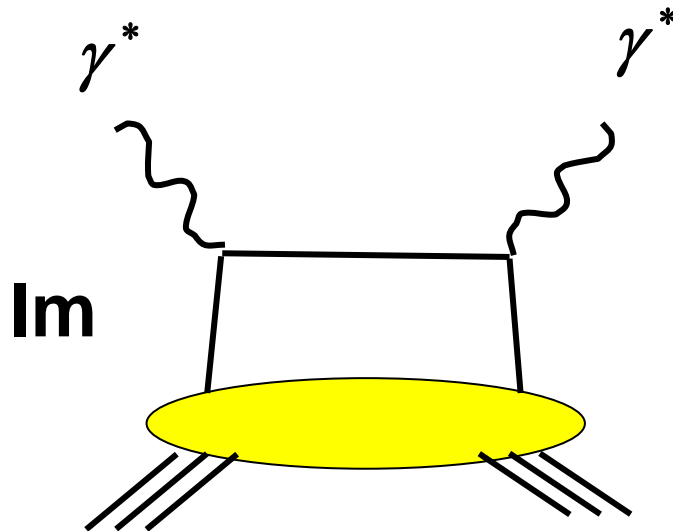
$$\lim_{t \rightarrow 0} \frac{1}{2} \int_{-1}^{+1} dx x [H^q(x, \xi, t) + E^q(x, \xi, t)] = \mathbf{J}^q \quad \text{2nd moment}$$

$$\mathbf{J}^q = \frac{1}{2} \Delta \Sigma + \mathbf{L}_z^q \quad \text{Total angular momentum}$$

Orbital angular momentum

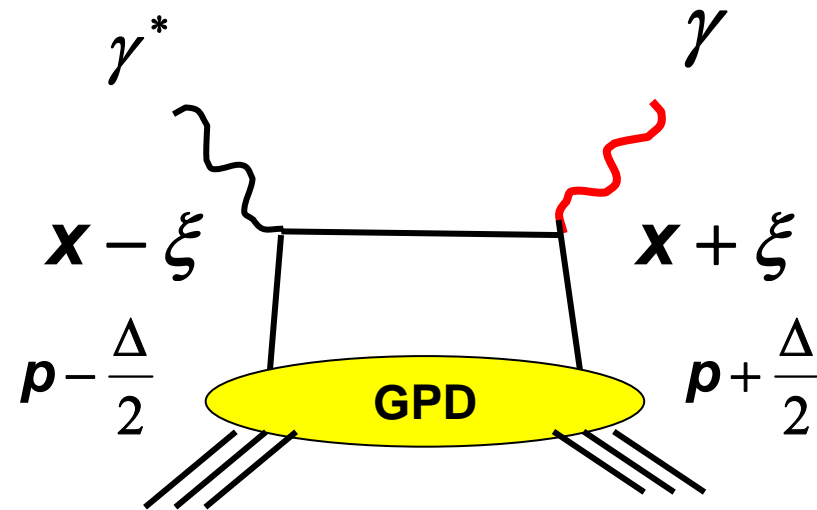
Deeply Virtual Compton Scattering

Cross section for inclusive deep inelastic scattering



Deeply Virtual Compton Scattering (DVCS)

-- Exclusive production of a real photon



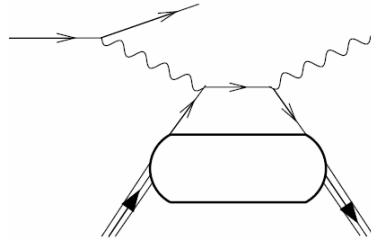
x :Light cone momentum fraction

$\xi = \frac{x_{BJ}}{2 - x_{BJ}}$:Exchanged longitudinal momentum fraction

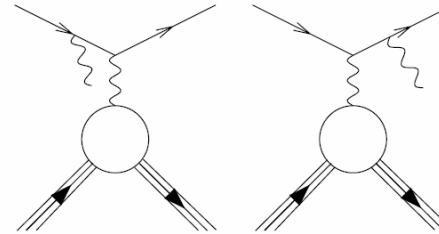
$t = \Delta^2$:Momentum transfer

Deeply Virtual Compton Scattering

How to measure DVCS



Deeply Virtual Compton Scattering



Bethe-Heitler Process, known calculable

$$\frac{d^4\sigma}{d\phi dt dQ^2 dx} \propto |A_{DVCS} + A_{BH}|^2 = |A_{DVCS}|^2 + |A_{BH}|^2 + I$$

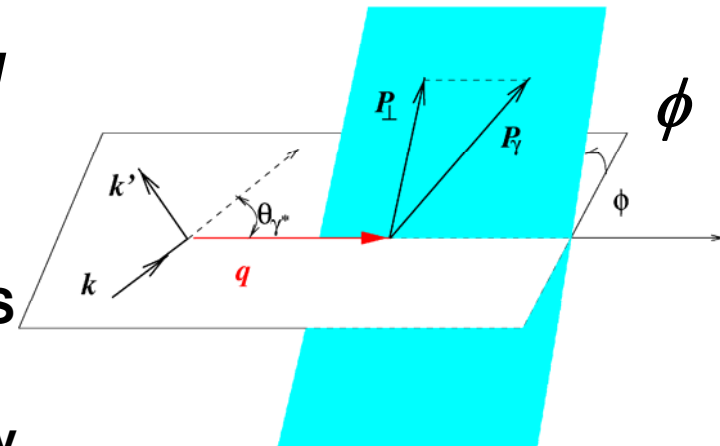
$$\Delta\sigma_{LU} = \sigma(\vec{e}^{\pm} p) - \sigma(\vec{e}^{\mp} p) \propto \mp \sin\phi \times \text{Im } I$$

$$\Delta\sigma_{ch} = \sigma(e^+ p) - \sigma(e^- p) \propto \cos\phi \times \text{Re } I$$

Beam-spin asymmetry by HERMES and CLAS

Beam-charge asymmetry by HERMES

-- DVCS-BH Interference, Real and Imaginary

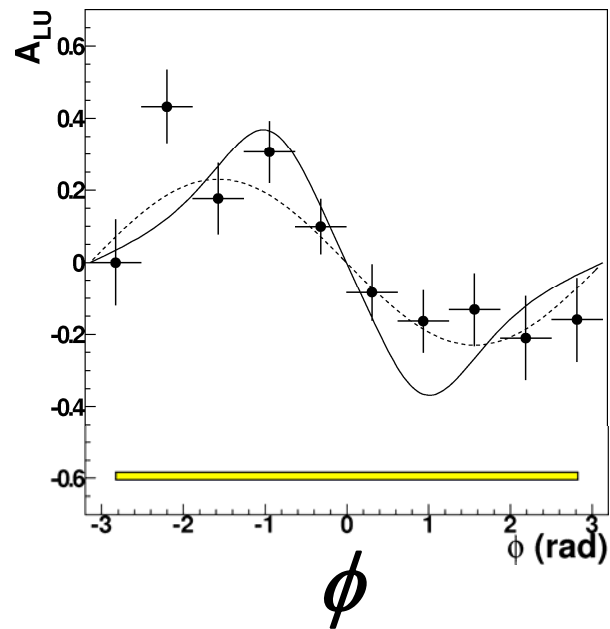


Deeply Virtual Compton Scattering

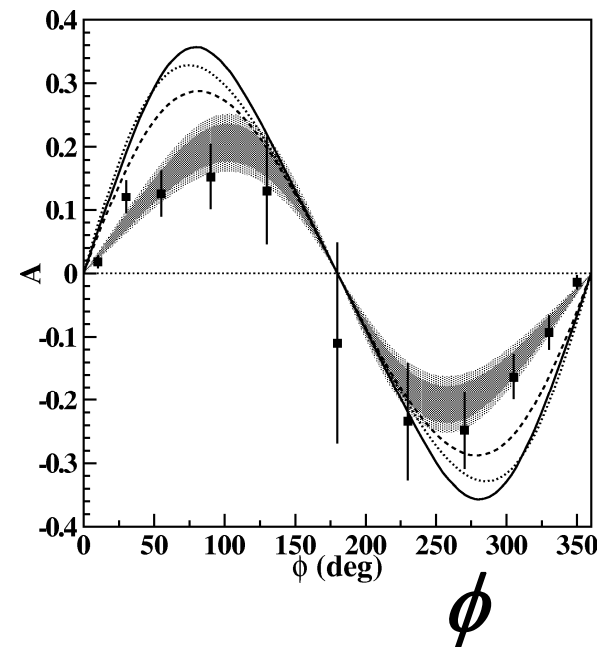
First observation of beam-spin asymmetry of DVCS (2001)

A_{LU}

HERMES (DESY)



CLAS (JLab)

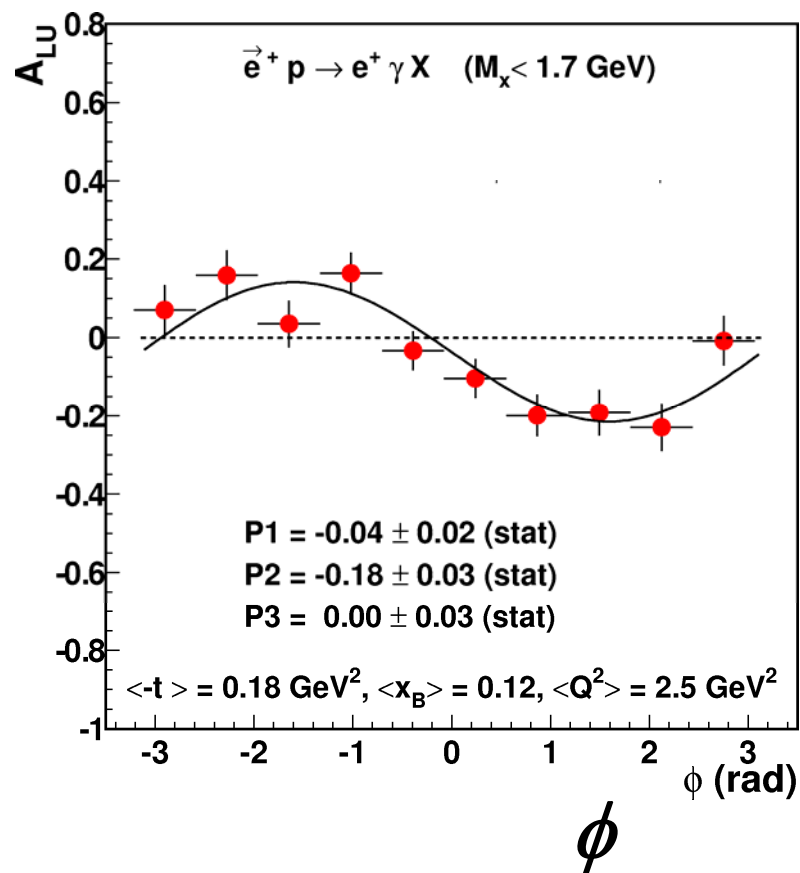


~ 30% effect



Beam-Spin Asymmetry

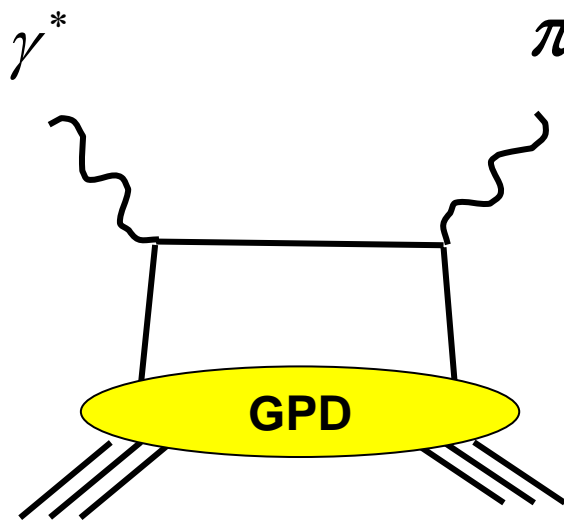
HERMES



How to extend

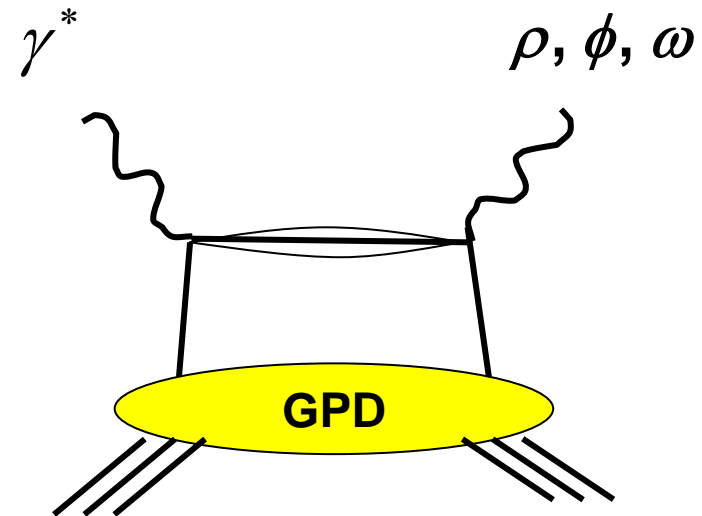
Quantum number of final state \longrightarrow Select different GPD

Exclusive Meson Productions



Pseudo scalar meson

$$\tilde{H}^q(x, \xi, t), \tilde{E}^q(x, \xi, t)$$



Vector meson

$$H^q(x, \xi, t), E^q(x, \xi, t)$$

6. Summary

- **Lepton scattering is a clean method to explore the nucleon structure. Bjorken x and Q^2 are determined event by event**
- **Longitudinal Spin -- Helicity Distributions of quarks and gluon**
- **Transverse Spin -- Sivers Function, Transversity**
- **Deeply Virtual Compton Scattering and Exclusive Meson Production -- Generalized Parton Distributions**
- **More results will be obtained from COMPASS, HERMES and Jlab experiments -- complementary to the hadron beam experiments**

Acknowledgements to G. Mallot from whom several slides were obtained.