

Decoherence of Primordial Perturbations in the View of a Local Observer

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Background and Motivation — Inflation as a probe of quantum gravity

❖ Ultimate goal: [Quantum gravity theory!](#)

- We quantize metric perturbations during inflation $h_{ij} = (e^{\zeta(x)} a(t))^2 (\delta_{ij} + \gamma_{ij})$. ← perturbative QG
- Inflation may have the highest energy scale $\rho \lesssim 10^{15}$ GeV among observable phenomena known so far.

➔ *Bottom-up / phenomenological approaches to quantum gravity may be realized.*

❖ Important step: Is the gravity really quantum? ➔ Bell-like tests for ζ, γ_{ij} provides sufficient conditions that the fields are quantized [Campo and Parentani astro-ph/0505376, Maldacena 1508.01082, Choudhury et al. 1607.00237].

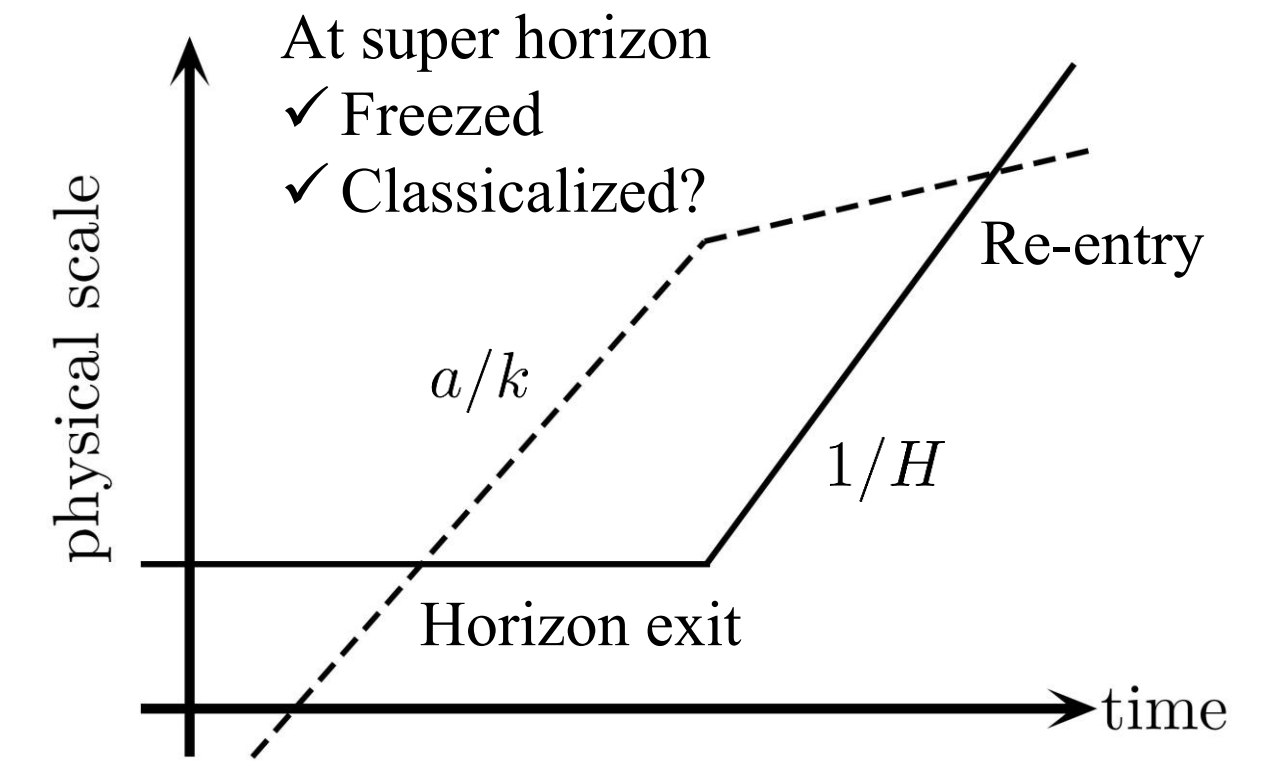
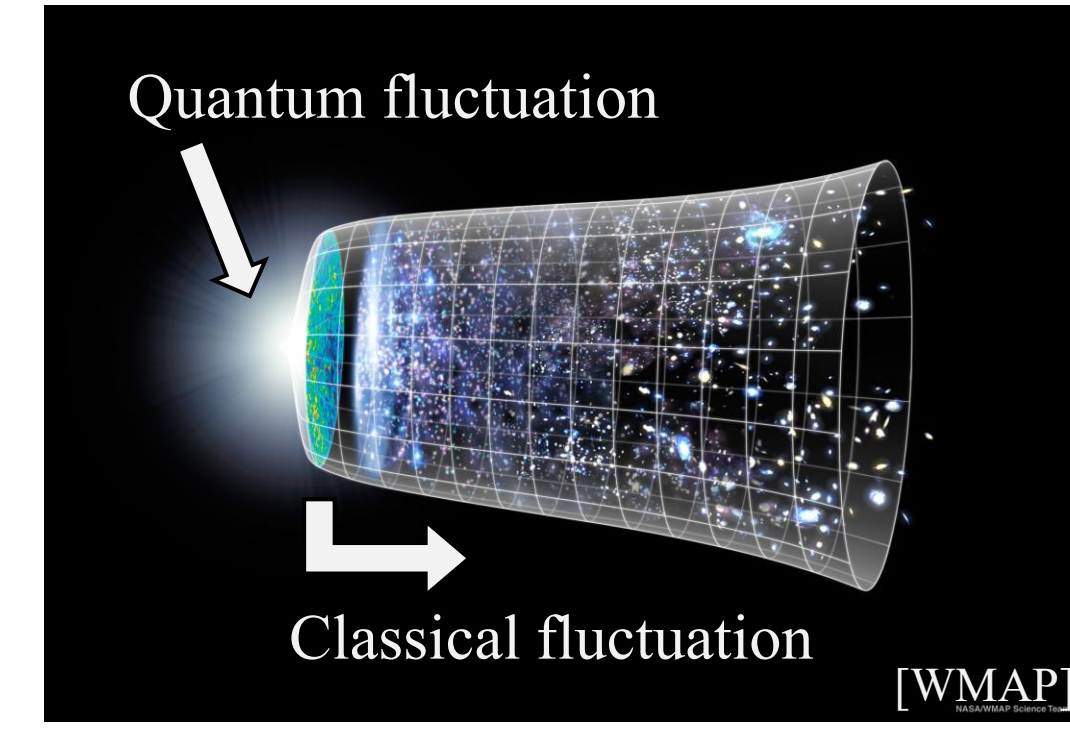
❖ An open question of inflation: When, how, or how much are quantum perturbations classicalized?

- Decoherence of curvature perturbations due to non-linear interactions [Polarski and Starobinsky gr-qc/9504030, Nelson 1601.03734, Burgess et al. 2211.11046]. $(S = \int d^4x a^2 [\epsilon(\zeta'^2 - \partial^2 \zeta^2) + \epsilon^2 \zeta(\zeta'^2 + \partial^2 \zeta^2) - 2\epsilon^2 \zeta' \partial_i \zeta \partial_i^{-1} \zeta' + \frac{\epsilon \eta}{2a^2} \partial_\tau (a^3 \zeta^2 \zeta') + \dots])$
- Objectivity and classical branching: We are in one of the branches of the density matrix, where one-point function is realized [Nelson and Riedel 1711.05719, Touil et al. 2208.05497, etc.].

❖ Short summary of previous works about decoherence effects from gravitational non-linearities: Decoherence happens right after the horizon crossing.

- Only few interactions are considered, e.g., $a^2 \epsilon^2 \zeta \partial^2 \zeta$ among bulk interactions and $-9\partial_\tau (a^3 H \zeta^3)$ among boundary ones. [Nelson 1601.03734 etc.] [Sou et al. 2207.04435]
- UV and IR divergences are not fully regularized. (See also refs. on divergences in wave fn. and open quantum system of QFT [Balasubramanian et al. 1108.3568, Cespedes et al. 2311.17990, Bucciotti 2410.01903, Burgess et al. 2411.09000])

Our work: *Making use of Ward identity in order to*
 • Take into account whole cubic order Lagrangian and clarify the symmetric structure.
 • Define genuine observable quantities within standard quantum theory to show the absence of divergences.



Setup — Wavefunction, Ward identity, Coherence

❖ Wavefunction of curvature perturbations

$$\Psi[\zeta] \equiv \langle \zeta; \tau | \Omega \rangle = \exp \left[-\frac{1}{2} \int \frac{d^3 \mathbf{k}_1 d^3 \mathbf{k}_2}{(2\pi)^6} \psi_2 \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} - \frac{1}{3!} \int \frac{d^3 \mathbf{k}_1 d^3 \mathbf{k}_2 d^3 \mathbf{k}_3}{(2\pi)^9} \psi_3 \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} - \dots \right]$$

➢ Correlation functions

$$\langle \Omega | \hat{\zeta}_{\mathbf{k}_1} \hat{\zeta}_{\mathbf{k}_2}(\tau) | \Omega \rangle = \int \mathcal{D}\zeta(\tau) \langle \zeta; \tau | \Omega \rangle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \equiv \int \mathcal{D}\zeta(\tau) |\Psi[\zeta(\tau)]|^2 \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2}$$

➢ Semi-classical approximation of wavefunction coefficients

$$\Psi \simeq e^{iS_{\text{cl}}[\zeta]} \rightarrow \psi'_2 \simeq -i \int_{-\infty}^{\tau_0} d\tau \mathcal{L}_{\text{cl}}^{(2)} = -9ia^3 H(1+\epsilon) - i \frac{ak^2}{H} (1-\epsilon) + ia^2 \epsilon k \frac{H_{1/2+\epsilon+\eta/2}(k/aH)}{H_{3/2+\epsilon+\eta/2}(k/aH)} \text{ etc.}$$

❖ Ward identity [Maldacena astro-ph/0210603, Pimentel 1309.1793, etc.]

Under the gauge transf. $x^i \rightarrow x^i + \xi^i$, $h_{ij} \rightarrow h_{ij} - a^2 D_{\{i} \xi_{j\}}$ where $h_{ij} = e^{2\zeta} a^2 \delta_{ij}$,

$$\Psi[h_{ij} - a^2 D_{\{i} \xi_{j\}}] = \Psi[h_{ij}] \rightarrow \lim_{k_1 \rightarrow 0} \psi'_3(k_1, k_3) = \left(3 - k_3 \frac{\partial}{\partial k_3}\right) \psi'_2(k_3)$$

➢ Maldacena's consistency conditions

$$\langle \zeta_1 \zeta_2 \zeta_3 \rangle' = -\frac{2 \text{Re}[\psi'_3]}{\prod_{i=1}^3 2 \text{Re}[\psi'_2(k_i)]} \xrightarrow{k_1 \rightarrow 0} -\frac{1}{2 \text{Re}[\psi'_2(k_1)]} \left(3 + k_3 \frac{\partial}{\partial k_3}\right) \frac{1}{2 \text{Re}[\psi'_2(k_3)]} = (1 - n_s) \langle \zeta_1 \zeta_1 \rangle' \langle \zeta_3 \zeta_3 \rangle'$$

❖ Decoherence

➢ Mode decomposition $\mathcal{H}_\zeta = \bigotimes_{\mathbf{k}} \mathcal{H}_{\mathbf{k}} = \bigotimes_{\mathbf{k}_S} \mathcal{H}_{\mathbf{k}_S} \bigotimes_{\mathbf{k}_E} \mathcal{H}_{\mathbf{k}_E}$ $\pm \mathbf{k}_S \in \{\mathbf{k}_{\text{CMB}}\}$

➢ Non-unitary evolution in Schwinger-Keldysh formalism

$$\langle 0_{\text{int}} | U^\dagger \zeta_{\mathbf{k}_S}^2(t) U | 0_{\text{int}} \rangle = \langle 0 | \left(\overline{\text{T}} e^{i \int_{t_0}^t dt' H_1} \right) \zeta_{\mathbf{k}_S}^2(t) \left(\text{T} e^{-i \int_{t_0}^t dt' H_1} \right) | 0 \rangle$$

$$= \langle 0 | \zeta_{\mathbf{k}_S}^2 | 0 \rangle - i \int_{t_0}^t dt' \langle 0 | \zeta_{\mathbf{k}_S}^2(t) H_1(t') | 0 \rangle + \text{c.c.} \quad \text{Unitary (*)}$$

$$+ \int_{t_0}^t dt' dt'' \langle 0 | H_1(t') \zeta_{\mathbf{k}_S}^2(t) H_1(t'') | 0 \rangle - \int_{t_0}^t dt' dt'' \langle 0 | \zeta_{\mathbf{k}_S}^2(t) \text{T}[H_1(t') H_1(t'')] | 0 \rangle + \text{c.c.} \quad \text{Non-unitary (**)}$$

$$\rho_S[\zeta, \tilde{\zeta}; t] = \int_{\Omega} \mathcal{D}\zeta_{\pm} e^{iS_+ - iS_- + iS_{\text{IF}}[\zeta_+, \zeta_-]} \equiv N e^{-A_{\mathbf{k}_S} \zeta_{\mathbf{k}_S}^2 - A_{\tilde{\mathbf{k}}_S} \tilde{\zeta}_{\tilde{\mathbf{k}}_S}^2 - C_{\mathbf{k}_S} \zeta_{\mathbf{k}_S} \tilde{\zeta}_{\tilde{\mathbf{k}}_S}}$$

➢ Trace out the environment to obtain influence functional [Nelson 1601.03734, Sou et al. 2207.04435]

$$\rho_S[\zeta_{\mathbf{k}_S}, \tilde{\zeta}_{\tilde{\mathbf{k}}_S}] = \int \mathcal{D}\zeta_{\mathbf{k}_E} \Psi[\zeta_{\mathbf{k}_S}, \zeta_{\mathbf{k}_E}] \Psi^*[\tilde{\zeta}_{\tilde{\mathbf{k}}_S}, \zeta_{\mathbf{k}_E}]$$

$$\checkmark \text{Off-diag. } C_{\mathbf{k}_S} = \frac{(+)}{\psi_3} \bigcirc \frac{(-)}{\psi_3} + \dots \quad \checkmark \text{Purity } \mathcal{P} = \text{Tr}[\rho^2] \simeq \frac{1}{1+\Gamma}, \quad \Gamma = 4P_{\mathbf{k}_S} C_{\mathbf{k}_S}$$

$$\rightarrow \Gamma \sim \frac{H^2}{M_{\text{pl}}^2} \left[\left(\frac{1}{\epsilon^2} \left(\frac{aH}{k_S} \right)^6 + \epsilon^2 \left(\frac{aH}{k_S} \right)^3 \right) (1 + \log(k_{\text{IR}}/k_S)) + \left(\frac{\Lambda_{\text{phys}}}{H} \right)^\# \right]$$

IR/UV divergence: not fully resolved

IR divergence — Fermi normal coordinate

❖ Observer's coordinate (comoving observer) [Tanaka and Urakawa 1103.1251, Pajer et al. 1305.0824]

$$ds^2 = a^2(-d\tau^2 + e^{2\zeta} dx^2) = a^2(-d\tau^2 + dx_{\mathbf{F}}^2) + \dots \quad * \text{Geodesic distance } x_{\mathbf{F}}$$

➔ $\zeta_{\mathbf{F}, \mathbf{k}} \simeq \zeta_{\mathbf{k}} + \zeta(0)(3 + \mathbf{k} \cdot \partial_{\mathbf{k}}) \zeta_{\mathbf{k}}$ where $\zeta(0)$ is $\zeta(x_{\mathbf{F}})$ at origin, and is super-horizon mode $\lim_{k \rightarrow 0} \zeta_{\mathbf{k}}$.
 Gauge transf. Fourier space

➢ Gauge is fully fixed including large gauge transformation $\zeta \rightarrow \zeta + c$

➢ Vanishing squeezed correlator $\lim_{k_1 \rightarrow 0} \langle \zeta_1 \zeta_2 \zeta_3 \rangle'_{\mathbf{F}} = \lim_{k_1 \rightarrow 0} \langle \zeta_1 \zeta_2 \zeta_3 \rangle' + (n_s - 1) \langle \zeta_1 \zeta_1 \rangle' \langle \zeta_3 \zeta_3 \rangle' = 0$
 Correlation with IR is artefact when the observable quantities are properly defined.

❖ Generalization to wavefunction [Sano and Tokuda 2504.10472]

$$\Psi[\zeta_{\mathbf{F}}] = \exp \left[-\frac{1}{2} \int \frac{d^3 \mathbf{k}_1 d^3 \mathbf{k}_2}{(2\pi)^6} \psi_{\mathbf{F}, 2} \zeta_{\mathbf{F}, \mathbf{k}_1} \zeta_{\mathbf{F}, \mathbf{k}_2} - \frac{1}{3!} \int \frac{d^3 \mathbf{k}_1 d^3 \mathbf{k}_2 d^3 \mathbf{k}_3}{(2\pi)^9} \psi_{\mathbf{F}, 3} \zeta_{\mathbf{F}, \mathbf{k}_1} \zeta_{\mathbf{F}, \mathbf{k}_2} \zeta_{\mathbf{F}, \mathbf{k}_3} - \dots \right]$$

$$\rightarrow \lim_{k_1 \rightarrow 0} \psi'_{\mathbf{F}, 3} = \lim_{k_1 \rightarrow 0} \psi'_3 - \left(3 - k_3 \frac{\partial}{\partial k_3}\right) \psi'_2 = 0 \quad \text{IR divergence of } \Gamma \text{ vanishes.}$$

$\zeta_{\mathbf{F}, \mathbf{k}} \simeq \zeta_{\mathbf{k}} + \zeta(0)(3 + \mathbf{k} \cdot \partial_{\mathbf{k}}) \zeta_{\mathbf{k}}$

UV divergence — Time-averaged observables

❖ UV divergence of equal time correlation functions

➢ Composite operators: Counter terms to operators rather than Lagrangian [e.g., Ch.6, "Renormalization", Collins 2023]

$$\text{Redefining observables } \phi_R^2(x) = Z_a \phi^2(x) + \mu^{-1} Z_b m^2 \phi(x) + \mu^{-1} Z_c \square \phi(x)$$

➢ Divergence at external momenta: Equal time is beyond IR effective theory [e.g., Balasubramanian et al. 1108.3568, Bucciotti 2410.01903]

$$\text{Possible divergences even at tree level } \langle \mathcal{O}_1^{\mathbf{k}} \mathcal{O}_2^{-\mathbf{k}}(t) \rangle \sim \int_{\sim 0} d^3(\mathbf{x}_1 - \mathbf{x}_2) \frac{1}{|\mathbf{x}_1 - \mathbf{x}_2|^{2\Delta}}$$

❖ Classifying the divergences

$$\langle \bar{\Phi}_1 \Phi_2(\tau) \rangle \supset \tau \text{---} \bigcirc \text{---} \tau \quad \rightarrow \quad \langle \bar{\Phi}_1 \bar{\Phi}_2(\tau) \rangle = \int d\tau_1 d\tau_2 W_\tau(\tau_1) W_\tau(\tau_2) \langle \Phi_1(\tau_1) \Phi_2(\tau_2) \rangle$$

$$\supset \int d\tau_1 d\tau_2 W_\tau(\tau_1) W_\tau(\tau_2) \left[\tau_1 \text{---} \bigcirc \text{---} \tau_2 \right]$$

$$\int^\Lambda k^\# dk \rightarrow \Lambda^\# \quad \text{Time averaging} \quad \frac{1}{|\tau_1 - \tau_2|^\#}$$

This is (expected to be) renormalized.
 Included in Wightman function
 No counter term to cancel the divergence
 (* absent when "IR" limit is taken first $\lim_{k \rightarrow 0} \langle \zeta_{\mathbf{k}}^2(\tau) \rangle$)

❖ Averaging out the unrenormalizable divergence

$$W_\tau(\tau_1) = \frac{e^{-(\tau_1 - \tau)^2 / 2\sigma_W^2}}{\sqrt{2\pi\sigma_W^2}}, \quad W_\tau(\tau_1) \text{---} \tau_1 \text{---} \tau \text{---} \tau_2$$

$$G(k; \tau_1, \tau_2) \propto e^{-ik(\tau_1 - \tau_2)} \quad \rightarrow \quad \Gamma_{\text{UV}} \sim \int_{k > aH} dk k^\# e^{-k^2 \sigma_W^2}$$

Exponential decay in sub-horizon

Discussions — Definition of observables can be crucial in quantum theory

- We made use of [Ward identity](#) to calculate the decoherence rate and its divergences. We confirmed that [IR divergence is absent thanks to the symmetric structure](#).
 - ✓ (Conformal) Fermi normal coordinate provides us perfect gauge fixing specified to free-falling observer. IR divergence is absent in the coordinate and thus it is merely a gauge artefact.
- UV behavior is not protected by symmetry. Furthermore, the equal time correlations, or wavefunction, include [UV divergence at external momenta, which is treated by redefining observables](#).
 - ✓ The UV divergences of time-smear-d quantity are classified to those which can be removed by counter terms and those cannot. [Average in time smear-out exactly the unrenormalizable ones](#).
- Outlook: How can we model the true observable quantities in our universe? CMB photons coupling to gravity, detector model on the Earth, role of objectivity in cosmology, ...