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# $S=+1$ Pentaquarks in QCD

## Sum Rules

Phys. Rev. D 79, 114011 (2009)

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@ J-PARC

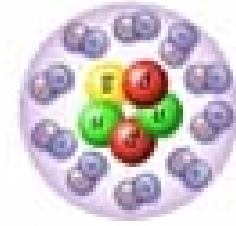
Collaborators: M. Oka (Tokyo Tech)  
T. Nishikawa (Ryotokuji University)  
D. Jido (YITP)  
T. Kojo (Brookhaven National Laboratory)

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# Contents

- The Pentaquark  $\Theta^+$  State
  - The Method: QCD Sum Rules
    - A very brief introduction
  - Results of our Calculation
    - $I, J^P = 0, 3/2^\pm$
    - Other quantum numbers
  - Conclusion and Outlook
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# Pentaquark $\Theta^+$



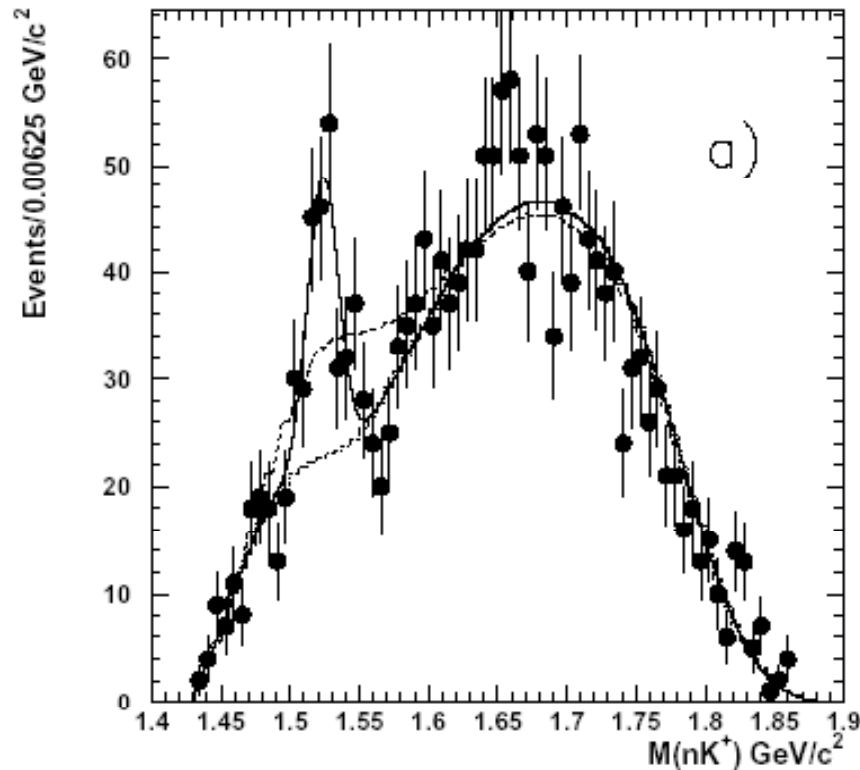
## Basic properties

- $B=1$ ,  $S=1$   $\rightarrow$  minimal quark content: 5 quarks ( $uudd\bar{s}$ )
- No Isospin-partners  $\Theta^0$ ,  $\Theta^{++}$   $\rightarrow$   $I=0$  (?)
- Mass:  $\sim 1540$  MeV
- Narrow width: less than  $\sim 1$  MeV

## Why is it interesting?

- It is exotic.
- Why is it seen in some experiments, while it not seen in others?
- Why is it so narrow?
  - $\rightarrow$  New dynamics in QCD ?

The SPring-8 experiment has reconfirmed a peak, so the question of the existence of  $\Theta^+$  is not settled yet.



T. Nakano *et al.*

Phys. Rev. C **79**, 025210 (2009).

For the latest experimental  
developments:

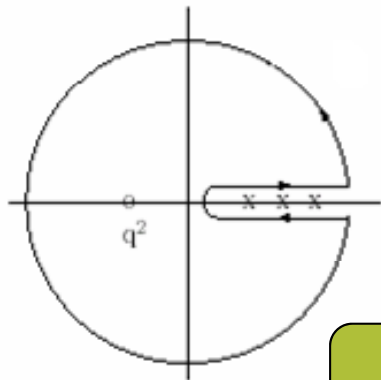
→ T. Nakano`s talk on Friday

There are still many theoretical questions that remain to be answered.  
(Quantum numbers, narrow width, etc.)

# QCD sum rules

In this method the properties of the two point correlation function is fully exploited:

$$\Pi(q) = i \int d^4x e^{iqx} \langle 0 | T \{ \chi(x) \bar{\chi}(0) \} | 0 \rangle$$



$$\rightarrow \Pi(q^2) = \frac{1}{\pi} \int_{s_{min}}^{\infty} ds \frac{\text{Im}\Pi(s)}{s - q^2 - i\epsilon}$$

is calculated  
"perturbatively"

spectral function  
of the operator  $\chi$

$$\frac{1}{\pi} \text{Im}\Pi(s) = \lambda^2 \delta(s - m^2) + \theta(s - s_{th}) \frac{1}{\pi} \text{Im}\Pi^{OPE}(s)$$

Borel transformation  $\rightarrow$  Introduction of an unphysical parameter, the Borel mass

# The concrete calculation (for $I, J^P = 0, 3/2^\pm$ )

We use the following interpolating fields:

$$\eta_\mu^1(x) = \epsilon_{c f g} [\epsilon_{a b c} u_a^T(x) C \gamma_5 d_b(x)] [\epsilon_{d e f} u_d^T(x) C \gamma_\mu \gamma_5 d_e(x)] C \bar{s}_g^T(x),$$

$$\eta_\mu^2(x) = \epsilon_{c f g} [\epsilon_{a b c} u_a^T(x) C d_b(x)] [\epsilon_{d e f} u_d^T(x) C \gamma_\mu \gamma_5 d_e(x)] \gamma_5 C \bar{s}_g^T(x)$$

$$\longrightarrow \eta_\mu(x) = \cos \theta \eta_\mu^1(x) + \sin \theta \eta_\mu^2(x)$$

Using these currents, the 2-point function is calculated:

$$\begin{aligned} \Pi_{\mu\nu}^{ij}(q) &= i \int d^4x e^{iqx} \langle 0 | T[\eta_\mu^i(x) \bar{\eta}_\nu^j(0)] | 0 \rangle \\ &= g_{\mu\nu} \left[ \hat{q} \Pi_0^{ij}(q^2) + \Pi_1^{ij}(q^2) \right] + \dots \quad (\hat{q} \equiv q^\mu \gamma_\mu) \end{aligned}$$

chiral even part

chiral odd part

# Importance of the Borel window

## 1. The OPE Convergence

$$\left| \frac{\text{Dimension } N \text{ terms}}{\text{OPE summed up to Dimension } N} \right| \leq 0.1$$

## 2. The Pole Contribution

$$\frac{\int_0^{s_{th}} ds e^{-\frac{s}{M^2}} \text{Im} \Pi^{OPE}(s)}{\int_0^{\infty} ds e^{-\frac{s}{M^2}} \text{Im} \Pi^{OPE}(s)} \geq 0.5$$

It is very important that these two conditions are satisfied simultaneously to obtain reliable results from QCDSR calculations!

# How to obtain a high Pole Contribution (1)

We use an approach similar to the old idea of the Weinberg spectral function sum rule:

$$\langle V_\mu(x) \bar{V}_\nu(0) \rangle - \langle A_\mu(x) \bar{A}_\nu(0) \rangle \simeq 0 \\ (x \rightarrow 0)$$

→ **leading orders in the OPE expansion are suppressed!**

T. Kojo, A. Hayashigaki, D.Jido, Phys. Rev. C **74**, 045206 (2006)

In our case we calculate the difference of two (independent) correlators with different mixing angles to obtain a good suppression of the leading OPE orders:

$$\Pi_D(q^2, \phi) \equiv \Pi_i(q^2, \theta_1) - \Pi_i(q^2, \theta_2) \\ (\phi \equiv \theta_1 + \theta_2)$$



# How to obtain a high Pole Contribution (2)

The sum and the difference of the used interpolating fields belong to specific chiral multiplets:

$$\begin{aligned}\xi_{1,\mu} &\equiv \eta_{1,\mu} + \eta_{2,\mu} \\ &= 2(u_R^T C d_R)[(u_L^T C \gamma_\mu d_R) - (u_R^T C \gamma_\mu d_L)] C \bar{s}_R^T \\ &\quad - 2(u_L^T C d_L)[(u_L^T C \gamma_\mu d_R) - (u_R^T C \gamma_\mu d_L)] C \bar{s}_L^T, \quad (\mathbf{3}, \overline{\mathbf{15}}) \oplus (\overline{\mathbf{15}}, \mathbf{3})\end{aligned}$$

$$\begin{aligned}\xi_{2,\mu} &\equiv \eta_{1,\mu} - \eta_{2,\mu} \\ &= 2(u_R^T C d_R)[(u_L^T C \gamma_\mu d_R) - (u_R^T C \gamma_\mu d_L)] C \bar{s}_L^T \\ &\quad - 2(u_L^T C d_L)[(u_L^T C \gamma_\mu d_R) - (u_R^T C \gamma_\mu d_L)] C \bar{s}_R^T. \quad (\mathbf{8}, \mathbf{8})\end{aligned}$$

$$\begin{aligned}\Pi_D(q^2, \phi) &= \frac{1}{2} \left\{ \cos \phi [\langle \xi_1 \bar{\xi}_1 \rangle - \langle \xi_2 \bar{\xi}_2 \rangle] \right. \\ &\quad \left. - \sin \phi [\langle \xi_1 \bar{\xi}_2 \rangle + \langle \xi_2 \bar{\xi}_1 \rangle] \right\}\end{aligned}$$

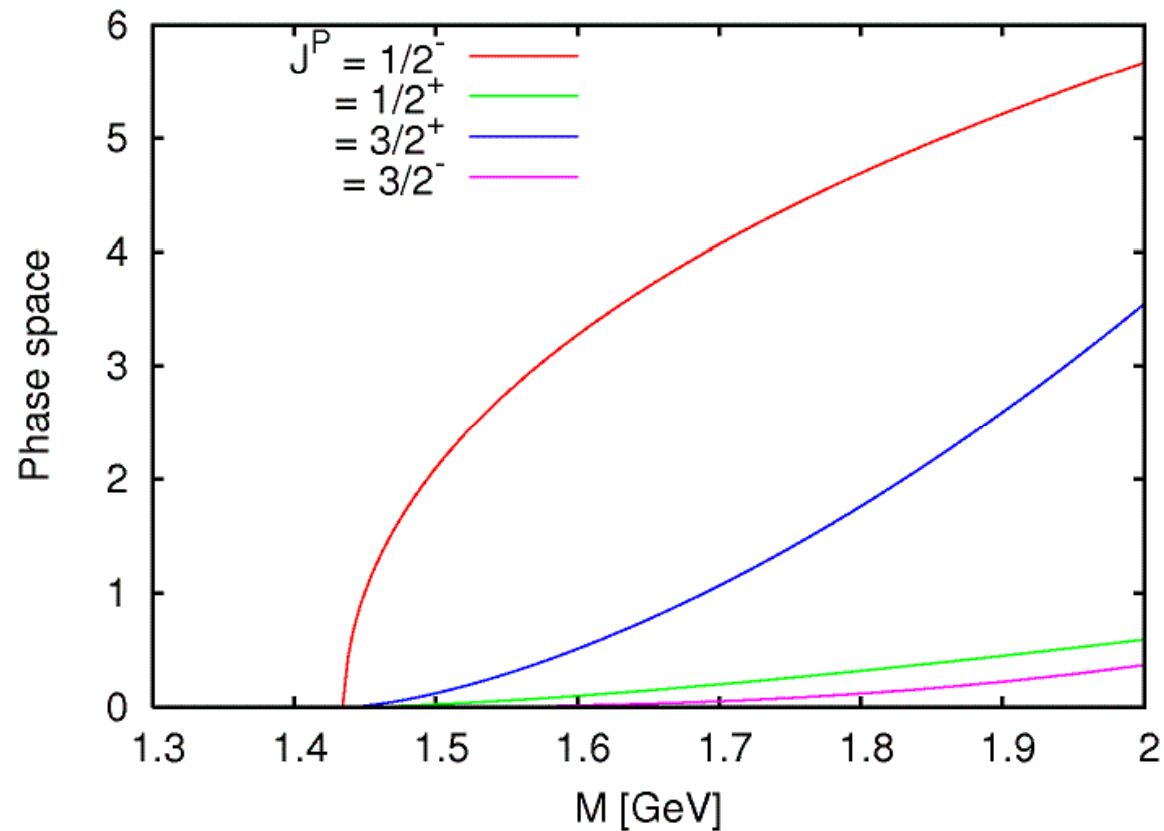
Determination of  
the mixing angle  $\Phi$



- 1) A sufficiently wide Borel window exists.
- 2) The calculated pentaquark mass should only weakly depend on the Borel mass  $M$  and the threshold parameter  $s_{\text{th}}$ .

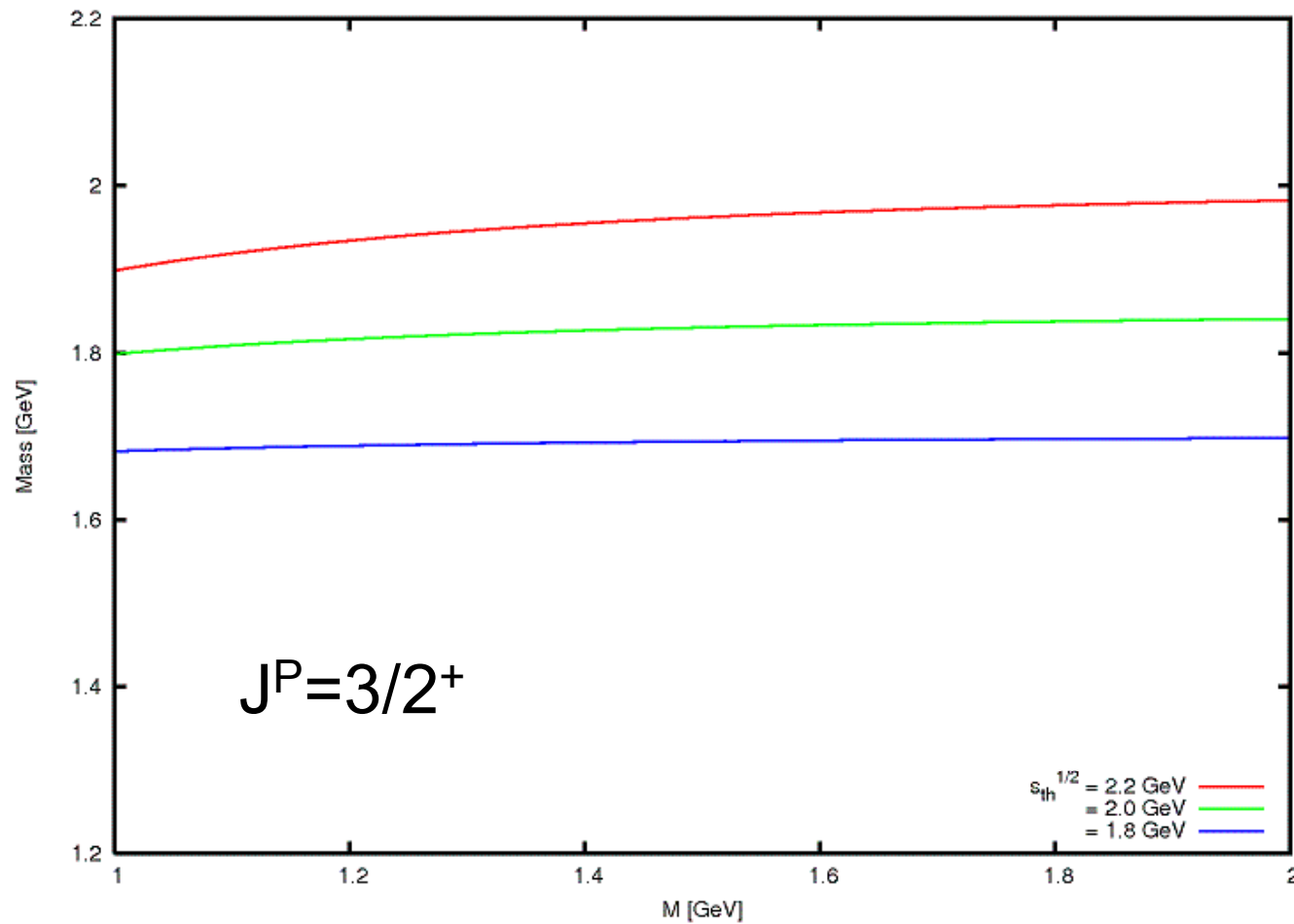
# What about the KN scattering states?

Parametrizing the spectral function by the KN phase space, the dependence of the results on the Borel mass and the threshold parameter is investigated.

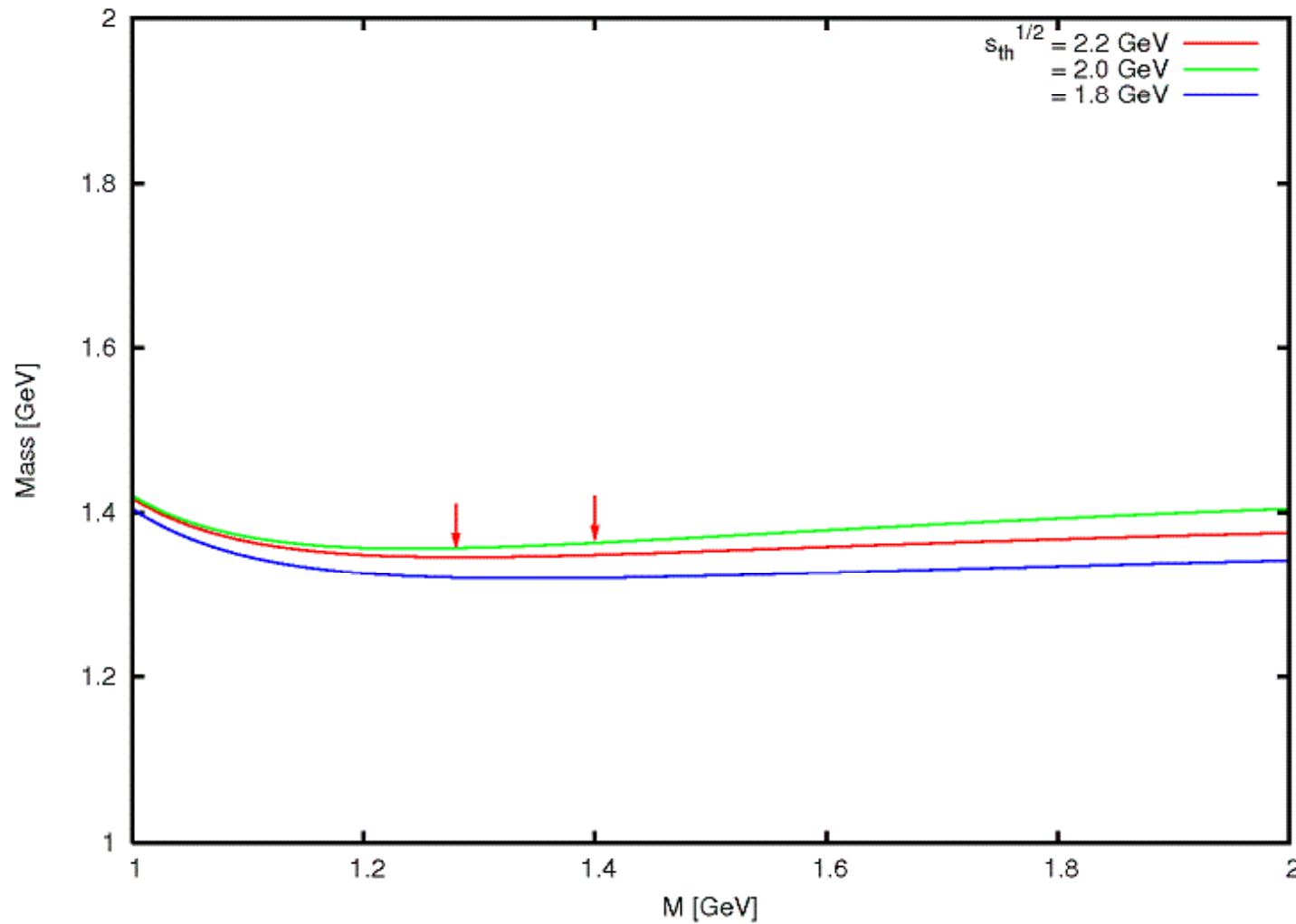


# What about the KN scattering states?

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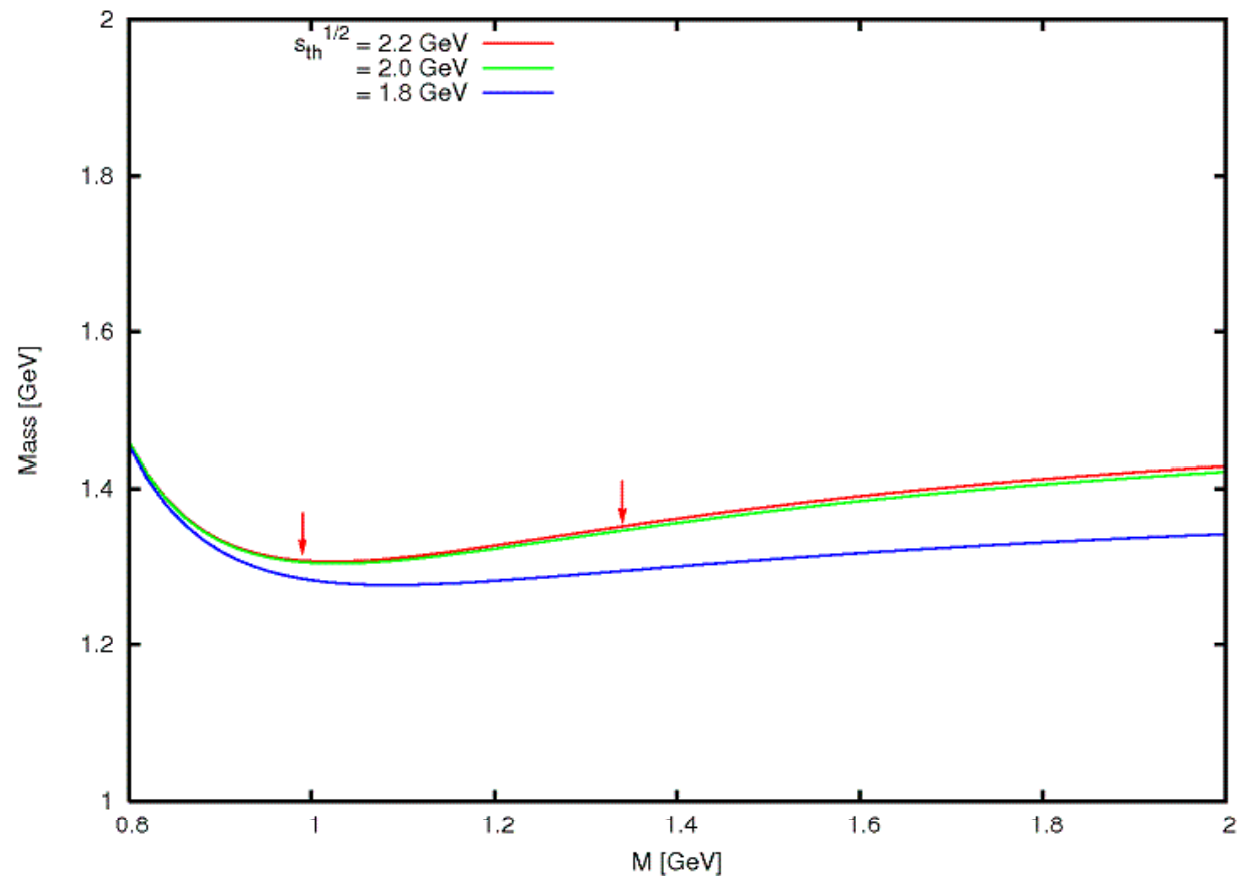


# Results ( $I, J^P = 0, 3/2^\pm$ , Chiral even part)



$$\rightarrow m_{\Theta^+} = 1.4 \pm 0.2 \text{ GeV}$$

# Results ( $I, J^P = 0, 3/2^+$ )



In the negative parity channel  
no valid Borel window with a  
flat Borel mass curve is  
obtained.

$$\rightarrow I J^P = 0 \frac{3}{2}^+$$

# The other quantum numbers $(1,3/2^\pm, 0,1/2^\pm, 1,1/2^\pm)$

The following interpolating fields are used:

$$\begin{aligned}\eta'_\mu{}^1(x) &= \epsilon_{cfg}[\epsilon_{abc}u_a^T(x)C\gamma_5d_b(x)][\epsilon_{def}u_d^T(x)C\gamma_\mu d_e(x)]C\bar{s}_g^T(x), \\ \eta'_\mu{}^2(x) &= \epsilon_{cfg}[\epsilon_{abc}u_a^T(x)Cd_b(x)][\epsilon_{def}u_d^T(x)C\gamma_\mu d_e(x)]\gamma_5C\bar{s}_g^T(x).\end{aligned}\quad (IJ^\pi = 1,3/2^\pm)$$

$$\begin{aligned}\eta^1(x) &= \epsilon_{cfg}[\epsilon_{abc}u_a^T(x)C\gamma_5d_b(x)][\epsilon_{def}u_d^T(x)C\gamma_\mu\gamma_5d_e(x)]\gamma^\mu\gamma_5C\bar{s}_g^T(x), \\ \eta^2(x) &= \epsilon_{cfg}[\epsilon_{abc}u_a^T(x)Cd_b(x)][\epsilon_{def}u_d^T(x)C\gamma_\mu\gamma_5d_e(x)]\gamma^\mu C\bar{s}_g^T(x).\end{aligned}\quad (IJ^\pi = 0,1/2^\pm)$$

$$\begin{aligned}\eta'^1(x) &= \epsilon_{cfg}[\epsilon_{abc}u_a^T(x)C\gamma_5d_b(x)][\epsilon_{def}u_d^T(x)C\gamma_\mu d_e(x)]\gamma^\mu C\bar{s}_g^T(x), \\ \eta'^2(x) &= \epsilon_{cfg}[\epsilon_{abc}u_a^T(x)Cd_b(x)][\epsilon_{def}u_d^T(x)C\gamma_\mu d_e(x)]\gamma^\mu\gamma_5C\bar{s}_g^T(x).\end{aligned}\quad (IJ^\pi = 1,1/2^\pm)$$

The rest of the calculations follows the same lines as in the  $I, J^P = 0, 3/2^\pm$  case.

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# Summary of all obtained Results

		Parity	
		+	-
$J = \frac{3}{2}$	$I = 0$	$1.4 \pm 0.2 \text{ GeV}$ ( <i>KN</i> P-wave)	no state found below 2.0 GeV ( <i>KN</i> D-wave)
	$I = 1$	$1.6 \pm 0.3 \text{ GeV}$ ( <i>KN</i> P-wave)	no state found below 2.0 GeV ( <i>KN</i> D-wave)
$J = \frac{1}{2}$	$I = 0$	no state found below 2.0 GeV ( <i>KN</i> P-wave)	$1.5 \pm 0.3 \text{ GeV}$ (?) ( <i>KN</i> S-wave)
	$I = 1$	no state found below 2.0 GeV ( <i>KN</i> P-wave)	$1.6 \pm 0.4 \text{ GeV}$ ( <i>KN</i> S-wave)

Why can't we observe this state ?

The width is expected to be quite large.  
Most probably these are not the experimentally observed states

# Conclusion and Outlook

- Our results suggest that the  $I, J^{\pi}=0, 3/2^{+}$  seems to be the most probable candidate for the experimentally observed  $\Theta^{+}(1540)$ .
- Other states have been found for  $I, J^{\pi} = 1, 3/2^{+}$ ,  $0, 1/2^{-}$  and  $1, 1/2^{-}$  at somewhat higher mass values. Some of these states may be found in future experiments.
- As we have obtained a spin  $3/2$  state with positive parity, the problem of the narrow width will need further consideration.  
Calculation of the width using the QCD sum rule approach would be interesting.



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# Backup Slides

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## The theoretical (QCD) side

The operator product expansion (OPE) is used:

$$i \int d^4x e^{iqx} \langle 0 | T \{ \chi(x) \bar{\chi}(0) \} | 0 \rangle = C_I(q^2) I + \sum_n C_n(q^2) \langle 0 | O_n | 0 \rangle$$

$$\begin{aligned} \langle 0 | O_n | 0 \rangle = & \langle 0 | \bar{q}q | 0 \rangle, \\ & \langle 0 | G_{\mu\nu}^a G^{a\mu\nu} | 0 \rangle, \\ & \langle 0 | \bar{q} \sigma_{\mu\nu} \frac{\lambda^a}{2} G^{a\mu\nu} q | 0 \rangle, \\ & \langle 0 | \bar{q}q\bar{q}q | 0 \rangle, \dots \end{aligned}$$

## The phenomenological (hadronic) side

Sharp resonance + continuum is assumed:

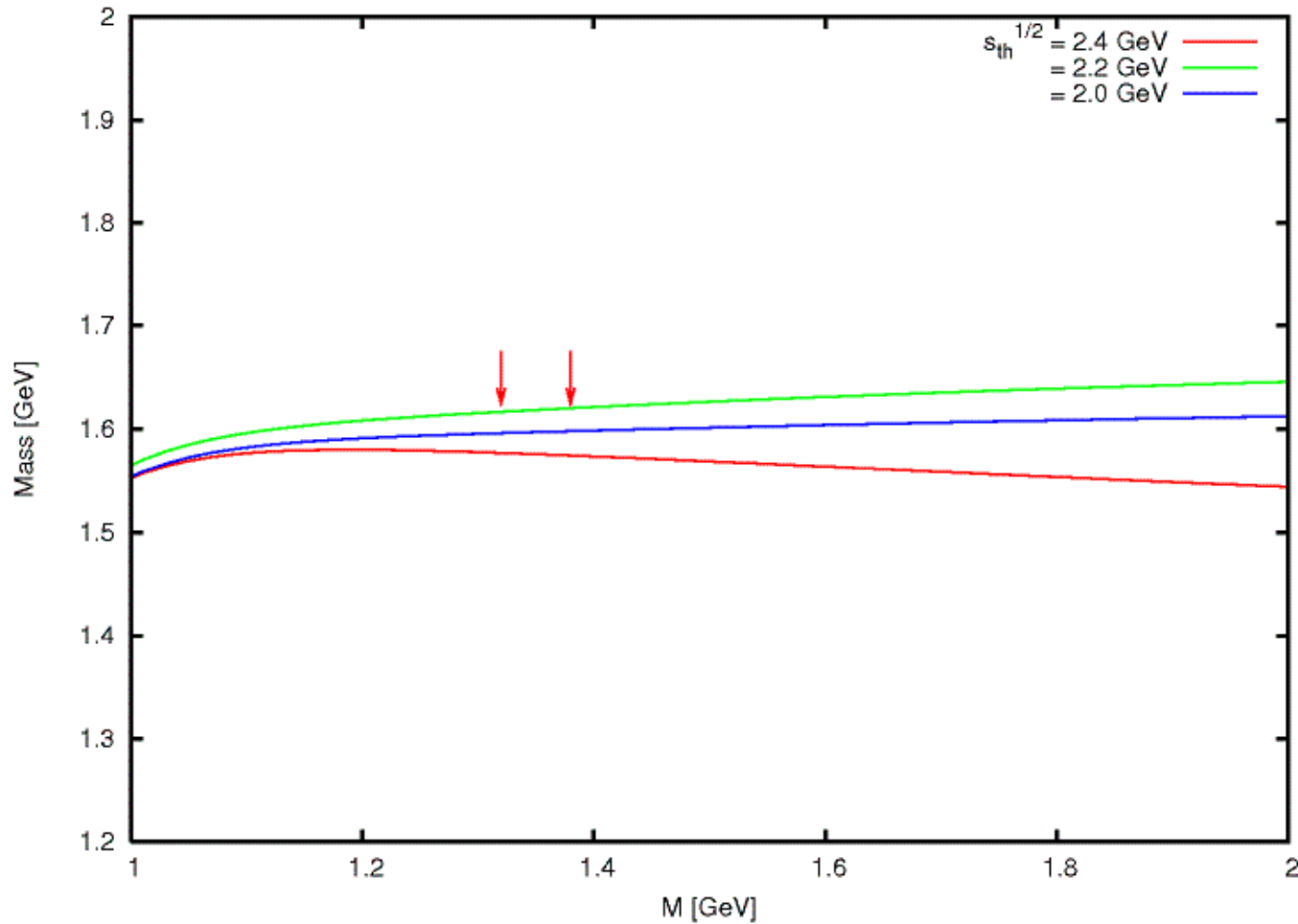
$$\frac{1}{\pi} \text{Im} \Pi(s) = \lambda^2 \delta(s - m^2) + \theta(s - s_{th}) \frac{1}{\pi} \text{Im} \Pi^{OPE}(s)$$

$$\begin{aligned}
& -i \int d^4x e^{iqx} \langle 0 | T [ \bar{\psi}^I(0) \psi^I(0) ] | 0 \rangle_{\text{pert}} \\
= & -\frac{1}{2^{19} 3^2 5^2 \pi^8} q^{10} \ln(-q^2) \cdot (\cos^2 \theta^{I-0} + \sin^2 \theta^{I-0}) \\
& -\frac{m_s \langle \bar{s}s \rangle}{2^{15} 3^3 \pi^6} q^6 \ln(-q^2) \cdot (\cos^2 \theta^{I-0} + \sin^2 \theta^{I-0}) \\
& +\frac{\langle \bar{q}q \rangle}{2^{18} 3^4 5 \pi^6} q^6 \ln(-q^2) \cdot (7 \cos^2 \theta^{I-0} + 12 \cos \theta^{I-0} \sin \theta^{I-0} + 7 \sin^2 \theta^{I-0}) \\
& -\frac{\langle \bar{q}q \rangle^2}{2^9 3^2 5 \pi^4} q^4 \ln(-q^2) \cdot (\cos^2 \theta^{I-0} - 9 \sin^2 \theta^{I-0}) \\
& +\frac{m_s \langle \bar{s}s \rangle \langle Gs \rangle}{2^{16} 3^2 5 \pi^6} q^4 \ln(-q^2) \cdot (37 \cos^2 \theta^{I-0} + 12 \cos \theta^{I-0} \sin \theta^{I-0} + 37 \sin^2 \theta^{I-0}) \\
& +\frac{5 m_s \langle \bar{s}s \rangle \langle \frac{\alpha_s}{\pi} G^2 \rangle}{2^{15} 3^3 \pi^4} q^2 \ln(-q^2) \cdot (\cos^2 \theta^{I-0} + \sin^2 \theta^{I-0}) \\
& -\frac{\langle \bar{q}q \rangle \langle \bar{q}q \sigma \cdot Gq \rangle}{2^{12} 3^3 \pi^4} q^2 \ln(-q^2) \cdot (26 \cos^2 \theta^{I-0} + 7 \cos \theta^{I-0} \sin \theta^{I-0} + 198 \sin^2 \theta^{I-0}) \\
& +\frac{m_s \langle \frac{\alpha_s}{\pi} G^2 \rangle \langle \bar{s}s \sigma \cdot Gs \rangle}{2^{17} 3^4 \pi^4} \ln(-q^2) \cdot (211 \cos^2 \theta^{I-0} + 156 \cos \theta^{I-0} \sin \theta^{I-0} + 211 \sin^2 \theta^{I-0}) \\
& -\frac{m_s \langle \bar{q}q \rangle^2 \langle \bar{s}s \rangle}{2^5 3^3 \pi^2} \ln(-q^2) \cdot (\cos^2 \theta^{I-0} - 5 \sin^2 \theta^{I-0}) \\
& +\frac{\langle \bar{q}q \sigma \cdot Gq \rangle^2}{2^{15} 3^4 \pi^4} \ln(-q^2) \cdot (489 \cos^2 \theta^{I-0} + 44 \cos \theta^{I-0} \sin \theta^{I-0} + 1959 \sin^2 \theta^{I-0}) \\
& +\frac{\langle \bar{q}q \rangle^2 \langle \frac{\alpha_s}{\pi} G^2 \rangle}{2^{11} 3^4 \pi^2} \ln(-q^2) \cdot (151 \cos^2 \theta^{I-0} + 4 \cos \theta^{I-0} \sin \theta^{I-0} + 133 \sin^2 \theta^{I-0}) \\
& +\frac{m_s \langle \bar{q}q \rangle^2 \langle \bar{s}s \sigma \cdot Gs \rangle}{2^8 3^3 \pi^2 q^2} \cdot (17 \cos^2 \theta^{I-0} + \cos \theta^{I-0} \sin \theta^{I-0} - 13 \sin^2 \theta^{I-0}) \\
& -\frac{5 m_s \langle \bar{q}q \rangle \langle \bar{s}s \rangle \langle \bar{q}q \sigma \cdot Gq \rangle}{2^7 3^2 \pi^2 q^2} \cdot \sin^2 \theta^{I-0} \\
& -\frac{\langle \bar{q}q \rangle \langle \bar{q}q \sigma \cdot Gq \rangle \langle \frac{\alpha_s}{\pi} G^2 \rangle}{2^{14} 3^4 \pi^2 q^2} \cdot (1365 \cos^2 \theta^{I-0} + 65 \cos \theta^{I-0} \sin \theta^{I-0} + 849 \sin^2 \theta^{I-0}) \\
& +\frac{\langle \bar{q}q \rangle^4}{2 \cdot 3^3 q^2} \cdot (\cos^2 \theta^{I-0} - \sin^2 \theta^{I-0}) \\
& +\frac{m_s \langle \bar{q}q \rangle \langle \bar{q}q \sigma \cdot Gq \rangle \langle \bar{s}s \sigma \cdot Gs \rangle}{2^{12} 3^4 \pi^2 q^4} \cdot (271 \cos^2 \theta^{I-0} + 68 \cos \theta^{I-0} \sin \theta^{I-0} - 209 \sin^2 \theta^{I-0}) \\
& -\frac{m_s \langle \bar{s}s \rangle \langle \bar{q}q \sigma \cdot Gq \rangle^2}{2^{10} 3^3 \pi^2 q^4} \cdot (6 \cos^2 \theta^{I-0} + 17 \sin^2 \theta^{I-0}) \\
& -\frac{m_s \langle \bar{q}q \rangle^2 \langle \bar{s}s \rangle \langle \frac{\alpha_s}{\pi} G^2 \rangle}{2^9 3^4 q^4} \cdot (21 \cos^2 \theta^{I-0} + 35 \sin^2 \theta^{I-0}) \\
& +\frac{97 \langle \bar{q}q \rangle^3 \langle \bar{q}q \sigma \cdot Gq \rangle}{2^6 3^4 q^4} \cdot (\cos^2 \theta^{I-0} - \sin^2 \theta^{I-0})
\end{aligned}$$

Perturbative part,  
contributing mainly to  
the continuum

$$\begin{aligned}
& \Pi(\frac{\phi}{2} + \frac{\pi}{4}) - \Pi(\frac{\phi}{2} - \frac{\pi}{4}) \\
= & +\frac{\langle \frac{\alpha_s}{\pi} G^2 \rangle}{2^{16} 3^3 5 \pi^6} q^6 \ln(-q^2) \cdot \cos \phi \\
& +\frac{\langle \bar{q}q \rangle^2}{2^8 3^2 \pi^4} q^4 \ln(-q^2) \cdot \sin \phi \\
& +\frac{m_s \langle \bar{s}s \sigma \cdot Gs \rangle}{2^{14} 3 \cdot 5 \pi^6} q^4 \ln(-q^2) \cdot \cos \phi \\
& -\frac{\langle \bar{q}q \rangle \langle \bar{q}q \sigma \cdot Gq \rangle}{2^{12} 3^3 \pi^4} q^2 \ln(-q^2) \cdot (7 \cos \phi + 172 \sin \phi) \\
& +\frac{13 m_s \langle \frac{\alpha_s}{\pi} G^2 \rangle \langle \bar{s}s \sigma \cdot Gs \rangle}{2^{15} 3^3 \pi^4} \ln(-q^2) \cdot \cos \phi \\
& +\frac{m_s \langle \bar{q}q \rangle^2 \langle \bar{s}s \rangle}{2^4 3^2 \pi^2} \ln(-q^2) \cdot \sin \phi \\
& +\frac{\langle \bar{q}q \sigma \cdot Gq \rangle^2}{2^{14} 3^4 \pi^4} \ln(-q^2) \cdot (22 \cos \phi + 735 \sin \phi) \\
& +\frac{\langle \bar{q}q \rangle^2 \langle \frac{\alpha_s}{\pi} G^2 \rangle}{2^{10} 3^4 \pi^2} \ln(-q^2) \cdot (2 \cos \phi - 9 \sin \phi) \\
& +\frac{m_s \langle \bar{q}q \rangle^2 \langle \bar{s}s \sigma \cdot Gs \rangle}{2^8 3^3 \pi^2 q^2} \cdot (\cos \phi - 30 \sin \phi) \\
& -\frac{5 m_s \langle \bar{q}q \rangle \langle \bar{s}s \rangle \langle \bar{q}q \sigma \cdot Gq \rangle}{2^7 3^2 \pi^2 q^2} \cdot \sin \phi \\
& -\frac{\langle \bar{q}q \rangle \langle \bar{q}q \sigma \cdot Gq \rangle \langle \frac{\alpha_s}{\pi} G^2 \rangle}{2^{14} 3^4 \pi^2 q^2} \cdot (65 \cos \phi - 516 \sin \phi) \\
& -\frac{\langle \bar{q}q \rangle^4}{3^3 q^2} \cdot \sin \phi \\
& +\frac{m_s \langle \bar{q}q \rangle \langle \bar{q}q \sigma \cdot Gq \rangle \langle \bar{s}s \sigma \cdot Gs \rangle}{2^{10} 3^4 \pi^2 q^4} \cdot (17 \cos \phi - 120 \sin \phi) \\
& -\frac{11 m_s \langle \bar{s}s \rangle \langle \bar{q}q \sigma \cdot Gq \rangle^2}{2^{10} 3^3 \pi^2 q^4} \cdot \sin \phi \\
& -\frac{7 m_s \langle \bar{q}q \rangle^2 \langle \bar{s}s \rangle \langle \frac{\alpha_s}{\pi} G^2 \rangle}{2^8 3^4 q^4} \cdot \sin \phi \\
& -\frac{97 \langle \bar{q}q \rangle^3 \langle \bar{q}q \sigma \cdot Gq \rangle}{2^5 3^4 q^4} \cdot \sin \phi
\end{aligned}$$

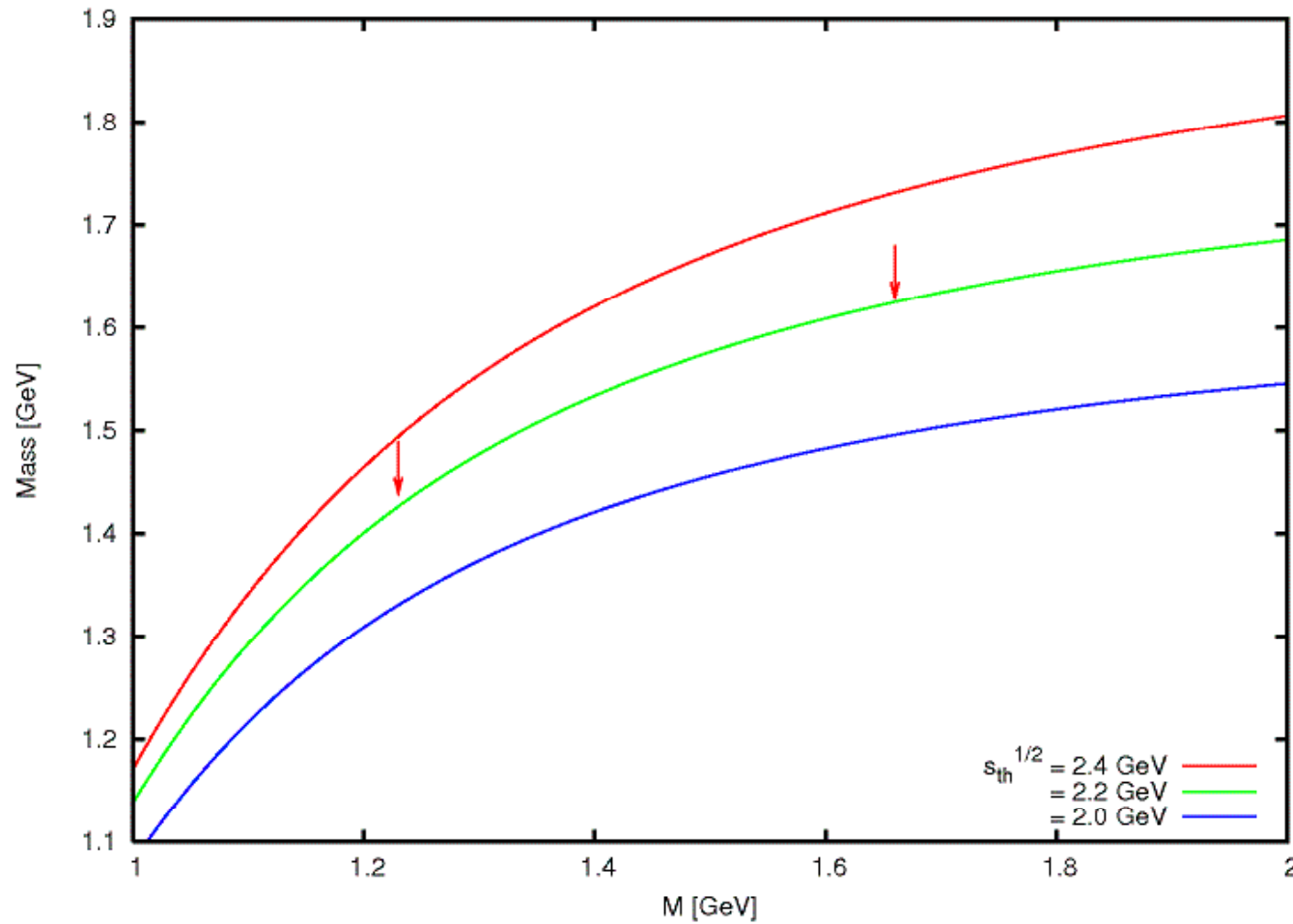
# Results ( $1,3/2^\pm$ )



$$\rightarrow m = 1.6 \pm 0.3 \text{ GeV}$$

$$\text{Parity projection} \rightarrow IJ^P = 1\frac{3}{2}^+$$

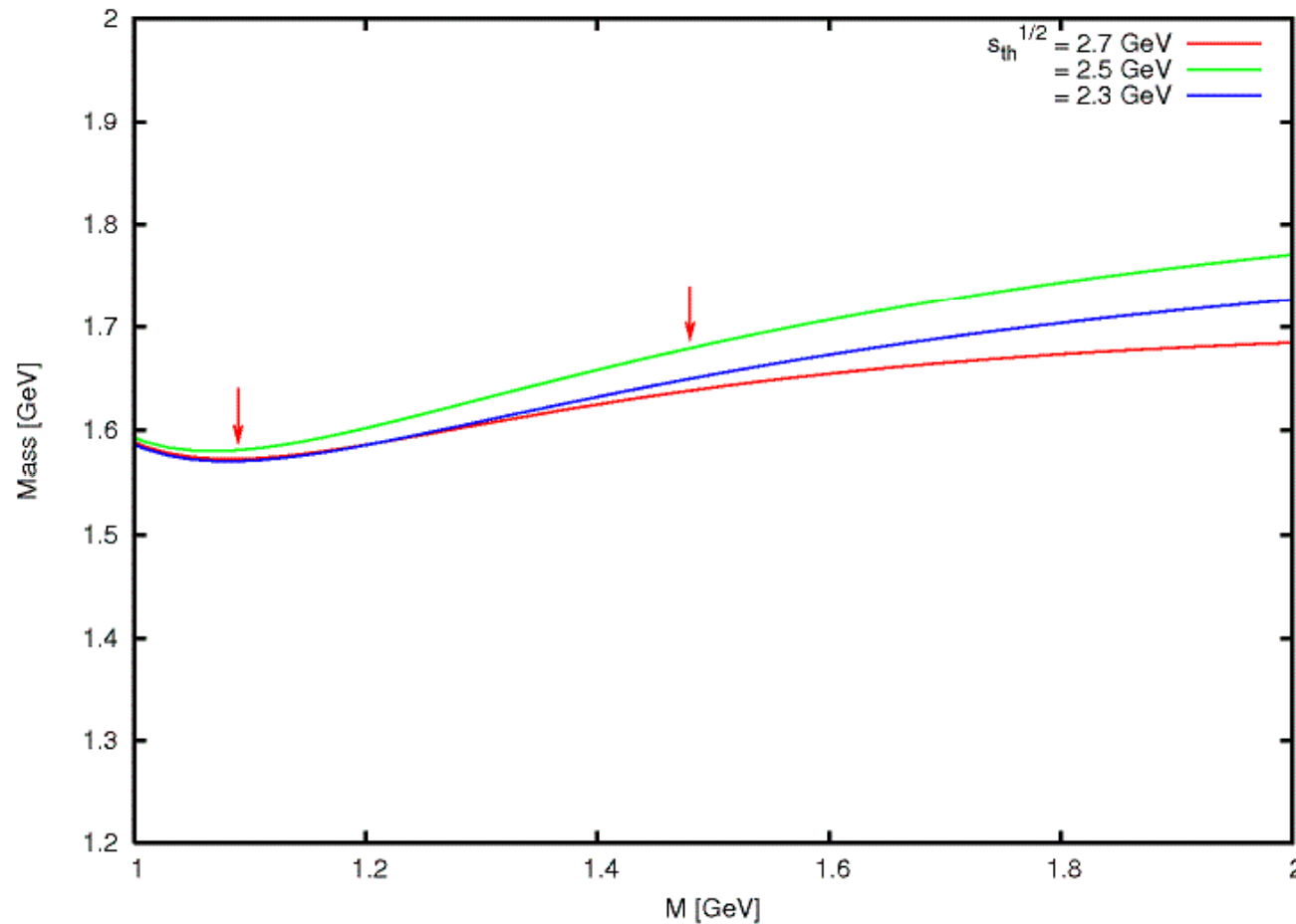
# Results ( $0, 1/2^\pm$ )



$$\rightarrow m = 1.5 \pm 0.3 \text{ GeV}$$

Parity projection  $\rightarrow IJ^P = 0\frac{1}{2}^-$

# Results ( $1,1/2^\pm$ )



$$\rightarrow m = 1.6 \pm 0.4 \text{ GeV}$$

Parity projection  $\rightarrow IJ^P = 1\frac{1}{2}^-$